

PUREBRED SPANISH HORSE CONFORMATION-FUNCTIONAL COMPETITION RULES & REGULATIONS

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CHAPTER I – GENERAL TERMS

Article 1. These Rules and Regulations endeavor to establish the procedures to be followed when holding Conformation Competitions for Purebred Spanish Stallions and Mares, to institute the system and structure of the various bodies that may be established and the responsibilities of all those participating in said events.

These Rules and Regulations are reviewed annually by the Competition Commission, who, in all cases is responsible for any and all interpretations of these mandates, as might be the case, when applying these Rules and Regulations.

Article 2. An ANCCE Conformation-Functional Competition is defined as an open competition and by no means discriminatory, for stud farms and horses that are registered in the Purebred Spanish Horse Stud Book, that meet and comply with the conditions established in the Specific Rules and Regulations, that appear on the Official Calendar for ANCCE Conformation-Functional Competitions and that comply with the norms arising from these current Rules and Regulations.

Article 3. These current Rules and Regulations are binding and mandatory for application at all Conformation-Functional Competitions for Purebred Spanish Horses appearing on the Official Calendar established for this purpose, which shall be approved and edited annually by ANCCE.

To be included on the aforementioned Calendar, the Organizing Committees (OC) shall present their written request to the ANCCE Competition Commission prior to the thirty-first of December (31/12) of each year. The Commission is responsible for and authorized to accept each Competition for the definitive Calendar, granting the corresponding consideration as deemed appropriate.

The definitive ANCCE Competition Calendar must be published before the thirty-first of January (31/1).

Any Competition request formulated after the established deadline shall be studied and approved, as would be the case, by the Competition Commission. Said event must favor breeders, if and when said event poses no conflict with the original and already approved calendar.

Article 4. Only those results of Conformation-Functional Competitions that appear on the Official ANCCE Competition Calendar and that have been judged according to the present Rules and Regulations shall be considered valid as qualifiers for the ANCCE World Championship for Purebred Spanish Horse Stallions and Mares, the final for which shall be held at SICAB (the International PRE Trade Fair). The qualification tables found in APPENDIX VI determine the number of horses that qualify in each section, depending on the various competition categories.

Article 5. All competitions included, in each season, within the scope of the Official ANCCE Calendar for Conformation-Functional Competitions, must comply with these current Rules and Regulations in full and with no exceptions. Should a flagrant breach of compliance be observed, and not due to force majeure, this shall be assessed by the Competition Commission with regards to possible disciplinary measures. The only exceptions for the full application of these Rules and Regulations could take place at the World Championship Final. These exceptions shall be published every year by the ANCCE Competition Commission, in writing and in all cases, well before the conclusion of the entry deadline.

CHAPTER II – COMPETITION COMMISSION: COMPOSITION, RESOURCES AND ALLEGATIONS

Article 6. The Competition Commission has been created to supervise that these Rules and Regulations are complied with in full, as well as to solve any conflicts that might arise in the interpretation and application of said rules, and that affect breeders, riders, presenters and the OC.

The Conformation Competition Commission is composed of a president, appointed by the ANCCE Executive Committee and who is authorized to propose the other members of said Commission as deemed appropriate. These members shall be appointed by the Executive Committee and ratified by the Board of Directors. This Competition Commission may request as many technical reports as deemed appropriate for the correct running of said Commission.

Regarding its decisions, appeals and allegations may be presented before the ANCCE office reception register. Initially, these shall be determined by the Conformation Competition Commission, and as would be the case, the ANCCE Executive Committee; in both cases, by majority vote.

CHAPTER III - REGARDING CONFORMATION-FUNCTIONAL COMPETITIONS: REQUIREMENTS

Article 7. The request to organize an Official Competition shall be presented before the Competition Commission, in a reliable manner, in writing and before the thirty-first of December (31/12) of each year, so that said competition may be included on the official ANCCE Competition list. The form provided in APPENDIX 1 of these Rules and Regulations must be filled out in full as an unavoidable prerequisite.

Competitions requesting inclusion in the Qualifying Round for the ANCCE World Championship may not be held any later than the first of November (1/11) of each year.

Those requests presented by individuals or organizations that are not the owner of the facilities where the event is to be held shall present, together with the request form, an authorization granting the use of said facilities for the Competition.

The following information shall appear on the competition request form:

- Dates of the event.
- Intended number of judges (based on rules).
- Exact location of the competition.
- Capacity limitations regarding the participation of horses, as would be the case.
- Organizer and contact person/s.

Any changes in any of these aspects shall be expressly approved by the Competition Commission.

The organization or person seeking to organize a Conformation-Functional Competition shall have facilities available in keeping with the venue where it is to be held, and shall comply with any and all health/hygiene requirements demanded by the corresponding public body.



Article 7.1. Requests to hold competitions outside of Spain shall be covered by an ANCCE-sanctioned Association. Only in such case that in a given country there is no association to process the request, the request may be presented by another institution or association. In this case, the approval or classification shall depend on the verdict of the Competition Commission.

Competitions requesting inclusion on the ANCCE Competition Calendar as qualifiers for the World PRE Championship may not be discriminatory in any way, shape or form, whatever the reason may be to try and justify such a measure. Should a case of discrimination be reported prior to the actual competition, the organizers shall be encouraged to correct the situation or should this not be the case, the event shall be excluded from the Official Calendar. Should the Commission hear about discriminatory attitudes once the event has concluded, the qualifications and classifications of the horses shall be respected, to benefit the participating breeders, but said event may be excluded as foreseen in Article 5.

Article 8. At all times, the main objectives of the organizers of these qualifying competitions for the ANCCE World Championship are the following:

- Contribute to promoting, preserving, expanding and adding prestige to the breeding of the Purebred Spanish Horse, both in Spain and abroad.
- Facilitate breeders with the possibility of improving their selection of products.
- Boost the acquisition of breeding stock to promote the goodness of the Purebred Spanish Horse.
- Promote the marketing of the Purebred Spanish Horse.

Article 9. Any of those participating in a competition, whether breeders, relatives, stud farm representatives, riders, grooms and employees in general, judges and organizational staff are obliged to maintain, within the limits of the grounds where the event is held, a correct and respectful attitude. All of the aforementioned people are subject to the mandates of these Rules and Regulations.

Article 10. Competition Categories

The competitions making up the ANCCE World Championship Qualifying Competitions Calendar every year shall fall within one of the following categories:

- ANCCE SICAB World Championship.
- ANCCE Qualification Competition.

Article 10.1. ANCCE - SICAB World Championship.

This event shall be held every year, coinciding with SICAB (International PRE Trade Fair), in the city of Sevilla (Spain). Said event shall be subject to the terms and conditions established in these current Rules and Regulations, except in those specific cases that are proposed annually by the Competition Commission, approved by the Executive Committee and ratified by the Board of Directors. All of these specific cases shall be announced prior to the conclusion of the entry deadline.

Participation: Only those horses that have qualified in advance at the competitions making up the Official ANCCE Competition Calendar, and in keeping with the criteria established in these current Rules and Regulations, may attend the World Championship for Purebred Spanish Horses.

<u>JUDGES</u>: At the World Championship, it is mandatory that each conformation section be judged by three (3) Judges, while for Functionality, there shall be two (2) Judges sharing this task.

Article 10.2. ANCCE Qualification Competition

The Executive Committee, at the request of the Competition Commission, and once requests have been received, shall determine the competitions to be held in Spain and internationally that shall have the category of ANCCE Qualification Competition.

On the international scene, the associations collaborating with ANCCE in each country may request to hold a National Championship in a given country, notwithstanding other qualification competitions. In those countries where there is not ANCCE collaborating organization, it shall be the Competition Commission that shall have the authority to grant a National Championship in that country.

In any case, said organizers shall request the event on the dates foreseen by the Competition Commission.

ANCCE Qualification Competitions held in Spain and internationally:

For the selection of Competitions, the following aspects shall be taken into account, in addition to the criteria for geographical distribution:

- Seniority.
- Participation in the three (3) previous editions.
- Absence of organization-related incidents.
- Degree of compliance with the Rules and Regulations.
- Any other aspect that, in the opinion of the Competition Commission, might be relevant.

Should an OC want its competition to be considered a Regional Championship, or any other type of Championship, this change must be approved by the Competition Commission.

The number of judges for a given competition shall be determined by the following aspects:

- a) All competitions which at previous editions had eighty (80) or more horses participating in the event shall be judged by two (2) Conformation Judges and one (1) Functionality Judge. Likewise, in the case of international competitions, said events may be judged be two (2) Conformation Judges, who shall also judge Functionality jointly.
- b) Those competitions that have had less than 80 horses at previous events may be judged by a single Conformation Judge who judges all horses, or a Conformation Judge and another Functionality Judge.

Once the Judges have been drawn and assigned to a competition, the request for Judges, made by the Organizing Committee, may not be changed under any circumstance.

Article 11. Obligations to be met at all Competitions

All Competitions on the official ANCCE Calendar and that are qualifiers for the ANCCE World PRE Championship at SICAB, shall advertise using the letters ANCCE and boasting the Association logotype on all event-related advertising.

Article 11.1. ANCCE – SICAB World Championship



Annually, the Competition Commission shall propose, before the ANCCE Executive Committee, the structural characteristics of the ANCCE - SICAB World Championship. Said event shall have at least the follow aspects as detailed for ANCCE Qualification Competitions, with the exception of the judges, which shall be as follows:

- A number that is no less than six (6) for Conformation, divided into three groups to judge each section, as established by the procedure for each edition. It is mandatory that substitute Judges be appointed.
- Two Functionality Judges. It is mandatory that a substitute Judge be appointed.

At the World Championship, should it be decided that there are prior semi-finals for each of the sections, as well as a final for all sections, the already mentioned governing bodies of ANCCE shall draft specific Rules and Regulations in this regard. Said specifics shall be published prior to the conclusion of the entry deadline.

Article 11.2. ANCCE Qualification Competitions

The competition shall meet all of the specifications listed below:

- **Organization**: the following minimal aspects shall be provided:
 - An Organizing Committee (OC) that takes charge of the basic aspects to launch the competition and respect the norms included these current Rules and Regulations during the event. The OC shall process and report the results to ANCCE for dissemination and promotion, as well as sending the official records from the judges and a final report about the competition.
 - 1 Technical Director.
 - 1 Steward.
 - Judges (as established in Article 10).
 - Selecting said judges shall be carried out by means of a draw, as foreseen in these current Rules and Regulations.

- Infrastructures:

- Competition arena measuring at least 60 x 20 m (196.8x65.6 ft).
- Warm-up arena.
- Adequate covered accommodations and stabling that are safe and suitable for the good wellbeing of the horses, and that guarantee their safety, security and comfort at all times. The accommodations for stallions and mares shall be sufficiently separated to guarantee the peacefulness of the horses and avoid possible accidents.
- A sufficient number of showers for the number of horses entered.
- A public address system.

- Services:

- Ambulance.
- Liability insurance.
- Security and surveillance for the smooth running of the competition.
- Bedding for the comfort of all horses.
- Official veterinarian service at the Competition, independently of the fee that he/she may charge for services rendered.

Farrier service.

In all cases, ANCCE qualifier competitions shall respect these current Rules and Regulations in full, as well as any applicable national or regional laws allowing such events. ANCCE shall not be held responsible for any failure to comply with the norms attributable to the OC.

Article 12. Non-fulfillment by Organizing Committees

In such case that an OC fails to comply with these current Rules and Regulations, the Competition Commission shall proceed as follows:

- Should non-fulfillment occur prior to the commencement of the competition, the Competition Commission shall exclude it from the annual calendar.
- Should non-fulfillment occur during the competition, the Commission shall terminate the event by launching the corresponding proceedings, which may include its exclusion from the official calendar the following year/s.

Article 13. ANCCE obligations for qualifier competitions included on the official competition calendar for the ANCCE – SICAB World Championship

In general, ANCCE is committed to recognizing, disseminating and promoting all competitions included on its calendar. Every year, the Competition Commission shall select those ANCCE Qualifier Competitions, which, according to their criteria, might be eligible for economic aid to held the event, with said decision being ratified by the Executive Committee.

The amout of said aid shall be as follows:

- a) Full-fledged ANCCE associated Organizing Commitees: €1800
- b) Organizing Committees that are not associated with ANCCE: €1500

To receive economic air, the receptor must be on good terms regarding all economic obligations with ANCCE.

CHAPTER IV. FUNCTIONAL & INTERNAL STRUCTURE: ORGANIZATION, COMPOSITION and RESPONSIBILITIES

Article 14. The Organizing Committee (OC)

The OC is responsible for the governance, management and administration of the Conformation-Functional Competition. Although the actual composition, in terms of the number of members, may vary, the OC shall include at least the following members:

- a) <u>President</u>: This person shall be the maximum authority of the competition. He/she shall formalize and execute all Committee decisions regarding matters under consideration. When voting, he/she shall have the casting vote in the event of a tie, in matters within the scope of the aforementioned OC.
- b) <u>Technical Director:</u> This person shall strive, at all times, that these current Rules and Regulations are complied with, in addition to ensuring that the facilities offer the precise conditions for the correct undertaking of the Conformation-Functional Competition in



accordance with the requirements set out in this document. Likewise, this person shall maintain close contact with the Judges and be responsible for providing them with all necessary documentation for them to fulfill their role as Judges. Similarly, this person shall be responsible for providing participants with the final results of each Section and shall give these to the President of the OC. The Chief Stewards and other organization staff shall respond to this person.

Responsibilities of the Technical Director:

- Verify, prior to the commencement of and during the Conformation Competition, the conditions of the event, and whether these comply with the characteristics required.
- Issue a report stating the characteristics of all facilities and equipment. Specify
 competition details regarding any possible irregularities that could lead to a warning or
 disciplinary actions. This report shall be sent to the Follow-up Commission for
 Conformation Competitions within a period not exceeding five (5) days following the
 conclusion of the competition.
- Verify and identify the correct location of the presentation arena.
- Verify the correct functioning of the public-address system in the arena.
- Guarantee the correct identification of all horses to be judged, as well as ensuring that their presentation fully complies with the provisions set out in these Rules and Regulations.
- Plan the schedule, ensure that it is respected and request strict compliance with the starting times of all sections. If the competition has to be modified due to reasons arising from competition developments, this person shall be responsible for informing all competition participants, extending such information to the general public by using all the means available to ensure ample distribution of said changes.
- Make sure that presenters and farm managers comply with the indications from the judges in terms of placement, presentation of stops and movements, as well as any other aspects the Judge is responsible for.
- Address complaints and suggestions from breeders regarding the judging and be present when they request an explanation from the Judge/s. No clarification or explanation shall be provided, under any circumstance, prior to the conclusion of the last section of the Conformation Competition. The only exception refers to explanations provided by the Superior Appeals Panel.
- Draft and send ANCCE all competition records in the required formats within a period not exceeding five (5) days following the conclusion of the competition.
- Propose the necessary collaborators to perform all competition tasks and responsibilities.
- Urgently inform the ANCCE Competition Department about the suspension of the competition, as would be the case, and the circumstances leading to said suspension, with all information and details being sent in writing.
- The tasks and responsibilities of the competition Technical Director may be inspected by the person or persons appointed by the Follow-up Commission for Conformation Competitions.

- c) Chief Steward: This person shall be in charge of verifying the identity, checking the numbers and names of all horses, ordering their entry to and exit from the arena and maintaining communication with the stud farm managers so that the competition runs smoothly. This task shall be mandatory; therefore, in those competitions that, due to their size, do not have a Chief Steward, this task shall be performed by the Technical Director.
- d) Members-at-large: These may be as many as the competition OC deems appropriate, one of whom shall always be the Competition Veterinarian.

Article 15. CO Responsibilities

- a) Draft the preliminary competition program including at least the following data:
 - The date and location where the competition is held, as well as the dates for the reception and departure of horses.
 - Entry deadline.
 - Entry fee, where applicable.
 - Health, sanitation and administrative certificates as required by the corresponding Authorities.
 - Awards and trophies.
 - Composition of the OC.

The initial competition program shall be published on the ANCCE Web-site before the commencement of the competition, and shall be made available to all participating breeders at the competition secretariat throughout the event.

- b) Verify the ownership of the horses registered with the LG-PRE-ANCCE Stud Book. Should there be any doubt, notify the Competition Department so that they may consult with the Stud Book; the response provided by the LG-PRE-ANCCE Stud Book shall be binding and final. A record of such verifications shall be sent to ANCCE including all documents requested.
- c) Once the Judges have been designated in accordance with the Rules and Regulations, the possible existence of conflicts of interest between the designated Judge/s and breeders or horses entered in the competition must be verified, ensuring that they do not incur in the limit for the maximum number of incompatibilities referred to in Article 46 of these Rules and Regulations, as well as the Judges' compliance with the obligations mentioned in Article 37 of these Rules and Regulations.
- d) Organizing Committees shall be obliged to pay all judging fees, as agreed to in advance with
- e) Request the inclusion of said competition on ANCCE's official calendar before 31st of December of each year.
- f) Suspend the competition due to force majeure, in which case the causes shall be stated in a report sent to ANCCE. ANCCE may claim liability responsibilities for damages caused by the OC. If the suspension takes place prior to the commencement of the competition, the OC is responsible for notifying breeders, Judges, and any other individual/s or organization/s

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- participating in the event, although ANCCE shall publish the suspension notification on its website for greater dissemination.
- g) Designate the Admission Jury, the Superior Appeals Panel, and all staff required for administrative and IT-related task/s so that the competition runs smoothly, and ensure that all regulatory obligations are complied with.
- h) Verify and be aware of any and all disciplinary actions that may be imposed upon judges, breeders and/or horses so that these do not participate in the competition.

All actions undertaken by the OC, whatever these may be in any of its departments, as well as the organization and development of the competition, may be inspected by personnel designated by the Competition Commission, if and when required. Non-compliance with any and all of the aforementioned obligations leads to disciplinary actions, as stipulated by these Rules and Regulations, whenever the Competition Commission considers it appropriate, in accordance with the established procedures.

Article 16. Admissions Panel (AP)

The AP shall include at least the following members:

- The Technical Director.
- One member of the OC.
- The Competition Veterinarian.

These shall be aided by as many people as deemed necessary to ensure the proper execution of their duties. Their decisions may be appealed before the Superior Appeals Panel (SAP).

The AP shall be operational from the very moment the first competition entry is received.

The AP shall be responsible for the admission of all horses, and therefore in charge of their reception, during which time they shall careful examine the points indicated in Article 29 of these Rules and Regulations and the following aspects:

- Health and well-being: The AP, following a veterinary exam, may reject those horses showing a physical defect, be it accidental in nature, or those suspicions of disease. Only in those cases in which the horse's injury is caused during transportation may said horse be accepted; entry to the arena shall be subject to an examination by the competition veterinarian, who must authorize its participation.
- <u>Documentation:</u> The AP shall verify that all in-coming horses have the corresponding documentation for transportation.
- <u>Identification:</u> Upon entry to the competition grounds, the AP shall identify all horses by reading their microchip and/or verifying the description that appears on the initial entry form, Passport or Equine Identification Documents (EID).
- Entry: The AP shall verify that all horses are correctly registered.
- Stabling for horses: The AP shall coordinate the distribution of horses in their stables.

The AP shall verify whether or not the horse's phenotypic integrity has been artificially modified artificially by means of paint or dying, extensions of the mane and tail, etc., in which case, the OC shall be notified.

The AP may take random blood samples during the competition at the time to attest the presence of doping prior to the competition in accordance with the list of prohibited substances laid out in APPENDIX VIII.

Article 17. Once the Admission Jury has verified that a horse is properly entered by its breeder within the established entry period and that it complies with the conditions officially required for entry to the competition, it shall authorize its access to the venue and said horse shall be considered officially entered.

In the event that a horse has been entered and accepted on the grounds (officially entered) but fails to participate in the competition, it shall be considered as not presented. If that horse was competing for the awards for the Best Breeder and Best Exhibitor, the points to be added shall be those that correspond to those "Not presented," as set out in APPENDIX V. Said points shall be tallied when determining the average score obtained by the stud farm.

Article 18. Any and all malicious and/or culpable negligence in the documentation of horses presented at a competition, and should this fact be noticed by the AP, said horse shall be eliminated from the competition immediately. Likewise, all horses from that stud farm shall be banned, prior to the commencement of the competition.

Article 19. Superior Appeals Panel (SAP).

The members of the competition SAP shall not present horses in said competition.

- a) <u>Composition:</u> The SAP shall include the President of the OC or whomever he/she appoints, and at least two (2) other members chosen by him/her. These members shall not be breeders, riders, presenters or participants in the competition. Said members shall comply with the indications in Article 14. One (1) member shall proceed as the secretary.
- b) <u>Responsibilities:</u> The SAP shall resolve any and all incidences that arise during the Conformation-Functional Competition, except regarding the actual judging of horses, in which case the maximum authority shall be held by the Competition Judges. The tasks and responsibilities of the Appeals Jury include:
 - Being the maximum authority at the competition to resolve any and all incidences that arise from the application of these current Rules and Regulations.
 - Resolving, in terms of appeals, any claims presented by breeders entered in the competition. All claims to be considered shall be formulated, in writing, within a maximum term of one (1) hour after the session has concluded where the referred-to incident has taken place, or been published. After that time, no incidents shall be taken into consideration.
 - Reporting all decisions made by the Superior Appeals Panel within a maximum period of forty-eight (48) hours, following the presentation of a claim. All decisions shall be public and unquestionable.
 - Reporting to the Competition Commission regarding possible incidences that may have taken place during the competition.

Article 20. The Veterinarian Team

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The OC shall have a team of veterinarians who shall be on-site at the competition facilities, every day during the assessment process, from the beginning of the competition to the last section of the day as well as during the entry and exit of the animals.

Said team shall include at least one veterinarian, aided by a farrier and who shall be supplied with sufficient equipment to efficiently and effectively perform their duties.

It is the duty of the veterinarian to treat any horse that becomes ill or has an accident while at the competition facilities, once being summonsed previously by the owner. Remember that the OC is not responsible for the costs derived from medications and the same holds true for costs related to shoeing. It is also the obligation and responsibility of the Chief Veterinarian to:

- Perform and therefore guarantee and verify the correct ID of all horses.
- Attend, at the request of the Judges, through the Chief Steward and at a given time, and issue a report about a given horse that is in the arena; said report shall not be linked to the final decision of the Judges.
- Safeguard, throughout the Competition, from the entry to and the exiting of all horses, that these meet all of the necessary conditions for their well-being. Should there be any type of incompliance with the current legislation in this regard, corrective measures shall be taken immediately and the Competition Technical Director shall be informed. In turn, he/she shall inform the OC, who may or may not confirm said measures, as would be the case, or proceed to take other necessary measures.
- Order, ex officio, or at the request of the breeder, the Chief Steward or the Judges, that a horse be seen by the vet regarding its condition to access the arena. Should the report be negative, a Veterinarian certificate shall be issued which shall serve the breeder as a justification for not presenting the horse in the arena.
- Take the necessary biological samples for the verification of doping.

Article 21. Administration, computerization and publication of results

This mission shall be undertaken by the person or persons chosen by de OC with the capacity to complete the tasks defined herein. In all cases, the OC shall be held responsible for the correct compliance of said tasks.

- a) Responsibilities: The person or persons designated shall have these administrative and IT responsibilities:
 - Handle all entries.
 - Draft a list with the various sections including all participating horses in each section. The Conformation and Functionality list shall be issued using the appendix listed in these Rules and Regulations.
 - Place the list in a visible location and make it available to the public once all horses have entered, indicating the sections and stud farms seeking the Best Breeder and Best Exhibitor Awards. All horses entered and registered by the breeder seeking said awards must appear on the list.
 - Draft and announce the results, with the score sheet from the judges, when the judging of each section has concluded, for breeders and the general public. This

- includes all the results from the Judges in the elections for Special Awards. Such results shall be listed online at www.concursoancce.com.
- Receive, duly filled out and signed by the judges and as would be the case, all score sheets, as they appear in APPENDIX III.
- Process the scores provided by the judges. These scores shall be included on the judges' score sheets.

CHAPTER V – ENTRIES and CANCELLATIONS

Article 22 - Ownership

Breeders shall only enter horses that they own. For identification purposes, only the breeder code shall be taken into consideration, with all horses competing under that breeder code, whether or not they were bred on that Stud Farm.

The horses owned by a breeder who participates under his/her name in any qualifying event for the World Championship and that are later sold to another breeder/owner, may be returned to the initial owner but shall not participate in any other Championship events during a period of twelve (12) months starting from the last change in ownership.

At any competition and after the corresponding entry has been completed, changes in ownerships shall be accepted but only until the horses enter the competition grounds; consequently, said horse/s may then participate under the ownership of the new stud farm owner. Any changes made after the competition has begun shall not be taken into account, under any circumstance, for the competition results.

Article 23. Number of horses

A stud farm may enter as many horses as it so desires to a competition, but should that stud farm compete for the Best Breeder or Best Exhibitor Awards, it shall clearly indicate, on the entry form, those horses competing for these awards.

A stud farm competing for the Best Breeder Award shall not seek the Best Exhibitor award and vice versa, with the exception indicated in Article 82.

Article 24. Entry Forms

The entry of horses shall be carried out by filling out and sending the document found in APPENDIX II to the OC. At least the following details shall be provided:

- Breeder name and stud farm code.
- Name of the representative and contact and/or emergency phone number.
- Name of horse, code, sex, date of birth.
- Section number where said horse is participating.
- Indicate whether the horse is to be considered when seeking the Best Breeder or Best Exhibitor award. The horses seeking any of the aforementioned awards may be changed prior to the official reception; this must be justified by means of an official veterinarian certificate.



- Specific authorization from the breeder or representative to extract biological samples from his/her horses for possible anti-doping verification.
- If a mare is participating in its section, it must be specified. Also indicate if said mare is participating in a cobra and which one it is participating in. In such case that said mare is only participating in cobras, do not check for the individual section.
- In the case of sections for adult mares, mark whether or not said mare is taking the Functionality Test.
- Complete compliance with the competition rules and regulations.
- Authorize the OC to perform biologic tests for doping controls of any or all horses entered for the competition.

Article 25. Suspensions and Compensations

Should an OC cancel a competition, for other reasons than force majeure, participants already present at the venue or in transit shall be compensated for the expenses incurred, notwithstanding other possible disciplinary responsibilities.

Article 26. Cancelation of Entries

Those breeders who have formalized the entry of their horses in a competition shall have no right to claim reimbursement of the quantities paid once the deadline has concluded.

Article 27. Deadline for Entries

The OC shall establish the entry period for a timespan no less than thirty (30) days, and the deadline shall conclude, in all cases, forty-eight (48) hours prior to the reception of horses.

Article 28. Entry of Breeding Stock

Horses participating in the Sections 9, 10, 11, 12, 13 and 14 shall provide proof as breeding stock, at any and all competitions where they participate. Horses in Sections 7 and 8 may participate throughout the year in the qualifiers for the World Championship without being considered APPROVED as breeding stock; however, said horses shall unfailingly be required to show proof when participating in the Final. In all cases, revisions shall be carried out prior to the reception of the horses at any competition.

CHAPTER VI - REGARDING HORSES

Article 29. All horses participating at a Conformation-Functional Competition, in addition to being in perfect physical condition, must comply with the following:

- Health and welfare standards as required by the Organizing Committee and the corresponding health authorities.
- Required travel and transportation documents.
- Passport or pre-registration certificate.

The cobras in the Sections 13 and 14 shall be formed exclusively by mares belonging to Sections 7 to 11, four years of age and above. The mares participating in cobras shall fulfill the same requirements as if they participated individually in their section.

In Sections 13 and 14, should it be necessary to change the make-up of a cobra once the entries have concluded, only one (1) of the mares in the cobra may be substituted in the case of section 13, and two (2) in the case of section 14. Should one of the components of the cobra suffer an injury during the competition, this horse may be substituted by another mare that is entered in one of the adult mare sections and that has been on the grounds from the beginning and reception of the event. As previously established for all cases, all horses must belong to the same stud farm entering and participating with them.

Any horse presented in a competition shall not have physical, congenital or acquired anomalies with exception of surgical extirpation of testicles due to a pathological process.

Horses presented at a competition included on the Official ANCCE Calendar may be subject, during reception, to doping test by the Admission Panel according to the list of prohibited substances, as contemplated in APPENDIX VII. Testing is mandatory; therefore, no breeder may refuse the extraction of biological samples from his/her horse/s. Should a breeder refuse testing, the horse/s he/she owns and presents at the competition shall be rejected. This behavior shall be considered severe misconduct, thus leading to disciplinary actions.

CHAPTER VII. REGARDING BREEDERS

Article 30. Any breeder deciding to present his/her horses at a competition is committed to respecting and complying with the norms laid out in these current Rules and Regulations, and to contribute to the brilliance and dignity of these competitions, presenting his/her horses under the best possible conditions.

Article 31. Breeders shall not approach the Judges while judging. Should they do so outside the competition arena, judges shall be addressed with respect and consideration and always in the presence of the Technical Director or Chief Steward once the judging of all sections in the competition have concluded.

Article 32. Breeder Responsibilities:

Breeders are responsible for:

- The horses having a suitable level of training and handling.
- The actions of the personnel in their employ as well as members of their family. The
 attitudes of these may be subject to disciplinary actions that could affect the breeder
 and his/her horses.
- Presenting the horse in the corresponding Section with its tag number
- Ensuring that all their horses remain within the competition facilities until their exit is authorized in writing by the OC. Abandoning the facilities where a competition is taking place without the corresponding authorization shall be considered as a severe misconduct, warranting disciplinary fault.
- Having sufficient personnel for the care and handling of their horses as well as for the appropriate participation and presentation of their horses.



- Complying with all the decisions made by the judges, as well as those taken by the SAP, notwithstanding the right to make use of the means that these Rules and Regulations provide to express discrepancies.
- Address, with respect and consideration those linked to the organization, other breeders and their personnel.
- Ensuring that they, their employees and family members know and comply with these current Rules and Regulations. Any questions that may arise regarding the mechanics of the competition, which have nothing to do with its actual organization, shall be directed to the Technical Director.

Article 33. Noncompliance with the Rules and Regulations in the articles of this current Chapter, while the competition is being held, the OC may agree to the automatic elimination of a breeder and/or is/her participating horses, from the competition or from any of the section, the corresponding loss of points, as would be the case, independently of other disciplinary actions that may be adopted by the Competition Commission, as foreseen in these current Rules and Regulations.

CHAPTER VIII. REGARDING PRESENTERS and RIDERS

Article 34. When presenting horses in the arena, both riders and presenters shall comply with the following aspects:

a) General terms:

- Be at least sixteen (16) years of age, or, if underage, the OC shall be presented with a specific authorization in writing from the parents or legal guardian, who shall take full responsibility for any possible damages and/or injuries, relinquishing the OC of all liability. In all cases, the OC shall not be held responsible for the actions of riders and presenters who have no legally recognized ties with the owner of the horses they are riding or presenting.
- At all times, attend to the indications of the Competition's Technical Director, in his/her role as Chief Steward.
- Execute the stipulated route for the presentation of movements in hand, without delay or surpassing the established limits.
- Show composure, both within and outside of the arena, while at all times addressing with respect and consideration all members of the Judging Panel, those linked to the organization, other breeders and their staff, as well as the general audience attending the event. Should there be breach of compliance regarding this obligation, that person may be banned from the arena, with his/her access any other section of the competing also being banned.
- At all times, have horses under control, both within and outside of the arena, in an effort to avoid risks for people and other horses. Therefore, staff shall be attentive of their horses at all times and these shall not be presented loose.
- Identify with the corresponding order number for all horses presented.
- Comply with all decisions made by the Judges, the OC as well as the SAP.

The following actions are prohibited and lead to automatic elimination:

Abuse horses participating at the competition, not only in the competition arena, but also in the warm-up arena and on the competition grounds.

- Use a whip or stick when presenting horses in hand, unless said whip/stick is equal to
 or less than 1.80 meters in length, including the handle. The only exception being for
 the presentation of mare cobras, in which case the stick or whip may be longer, but
 with the lash/cracker being collected.
- Force a sick, extenuated or lame horse to move
- Use make-up of any type that might hide or emphasize certain aspects of the horses, change its natural skin color, its hair or hooves when detected. The use of colorless grease may be used on the hooves. These aspects shall be verified by the Chief Steward, aided by the veterinarian team.
- Enter or exit the arena without being ordered to do so by the Chief Steward.
- When a horse fails to behave correctly in the arena, or should an abnormality be observed, the Steward shall be notified, and they shall inform the Judge/s; these, in turn, shall confirm or not the removal of said horse, as would be the case.
- Perform actions that might distract another horse being presented in the arena, such as moving a horse near or within the arena while awaiting entry to be judged.
- Address the Judge/s while within or outside of the arena. The only exception corresponds to the indications in Article 31.

A warning shall be made, without elimination:

- When martingales, breast collars, rings and any other device (such as plastic or cloth tied to the whip, or any other material that could affect the presentation of horses, as well as auxiliary reins, side reins, any type of sliding (draw) reins or balancing reins, nasal strips, etc.), are used. The same holds true when protection or bandages are used, or any type of blinders (blinkers) or other elements. Likewise, a warning shall be issued when decorations (trimmings) are used on the horse, including ribbons, bows or flowers, etc. Only in the case of the Functionality Test are standard braided manes and tails allowed.

If, after being warned, the use of any of the aforementioned materials continues to be used, this is reason for immediate elimination.

b) Specific terms:

Presenters:

- Shall lead horses using a leather in-hand bridle or lined cavesson; the use of any device that alters the horse's natural presentation or that might cause injury or pain is strictly prohibited. Only in the case of the mares in Section 7 and above may a head collars be used, but always leather and of a quality that guarantees the correct handling of the horse in the arena, both during the waiting period and for the actual presentation in the arena. Should this not be the case, indications shall be given to replace said head collar/bridle immediately or the presenter, with the horse, shall be asked to leave the arena, to therefore be considered eliminated.
- A horse and its presenter may be eliminated when either disrupts the smooth running of a section. Excessive emotional expressions or gestures and voicing artificial sounds, other than the natural voice of the presenter are prohibited, and only the good use of the lash shall be applied.
- Shall use traditional Andalusian, country attire (known as traje corto campero), hunting or dressage attire. Likewise, regional apparel may be worn, if and when the OC



authorizes said attire and that it is adequate for presentation. Logos, commercial brands or stud farm symbols may not be used to avoid differentiation of participants.

- No person is allowed into the arena who fails to meet and comply with all competition rules and regulations, even if it is to perform the presentation route or to help lead a horse.
- No assistant to the presenter is allowed to enter the arena. Only one (1) presenter per horse may enter the arena.
- In the Sections for Cobras (13 and 14), one (1) assistant is allowed per cobra.

Riders:

- Shall present their horse with a Spanish, English or Vaquera saddle; no other variant is allowed. In such case that the numnah (saddle pad) has a commercial logo, this shall measure a maximum of 20 cm x 20 cm (7.8 x 7.8 inches).
- No aids are allowed, nor are accessories other than those authorized by these Rules and Regulations.
- Shall wear the attire that corresponds to the saddle. The options include:
- Andalusian country attire (traje corto campero) and other regional garments considered appropriate for equitation.
- Dressage competition attire.
- Hunting attire.
- Riding whips are only authorized in the sections for 4-year-old horse and shall not exceed 120cm in length.
- Authorized bits shall be:
 - <u>Sections for 4-year-old-horses</u>: simple snaffle or vaquero bit; the aid of false reins is allowed, in keeping with the type of presentation.
 - <u>Sections for 5-year-old-horses and older</u>: simple snaffle or snaffle and curb or simple vaquero bit, in keeping with the type of presentation.

Article 35. In such case that the mandates of the previous article are not met, as well as any other behavior that is disruptive, inconsiderate or animal abuse, the OR may agree to eliminate the responsible breeder automatically, and/or his/her staff (riders, presenters, employees) under his/her supervision, at the competition or in any of the sections with the corresponding cancelation of any points earned, and all awards obtained removed, in which case, the horses in the next position classify in the next position; notwithstanding any disciplinary measures that may be adopted by the competition commission in keeping with the provisions of these Rules and Regulations.

This decision may be appealed before the SAP. Also, the OC body may take formal action should the events/behavior by those already eliminated be sufficiently serious to expand the punishment to all of the horses owned by that breeder. Said horses shall be deprived of any awards earned up until that moment. Those same awards shall be granted to the horses holding the following position, if and when this is possible. Should that not be possible, said award shall be considered unclaimed.

If, in the opinion of the OC, the behavior shown by breeders, presenters, riders and/or employees is serious enough, the OC may immediately expel that owner, representative and/or employees of that stud farm, with their participating horses being excluded from the competition.

Should an incidence arise, ANCCE shall be informed, so that the necessary disciplinary measures may be taken in keeping with these current Rules and Regulations.



CHAPTER IX. REGARDING JUDGES: RIGHTS & OBLIGATIONS

Article 36. Both the judges for Conformation as well as those specifically for Functionality shall be considered judges.

During a single competition season, a Judge for both tasks (Conformation and Functionality) may only exercise one of these tasks. The only foreseeable exception shall be the Functionality Tests at competitions.

The responsibility of training, certifying, authorizing or disqualifying Judges corresponds to the Technical Committee of Judges.

All judges shall cease to exercise their functions as such once they are seventy (70) years of age.

Article 37. Rights & Obligations

- I. Judges have the following rights:
 - a) Their decisions within the arena are to be obeyed.
 - b) Their independence is to be respected when judging.
 - c) To be provided with decent accommodations and meals by the OC.
 - d) Negotiate their fees with the OC, with both parties being obliged to reach an agreement.
- II. Judges have the following responsibilities:
 - a) Be independent when judging.
 - b) Base their judging on the breed quality, the Conformation Competition Judging Manual and these Rules and Regulations. Obvious and open failure to comply may lead to disciplinary actions as foreseen in the Disciplinary Rules.
 - c) Declare and state any and all conflicts of interest and the motivation, whether general or incidental. Said statement shall be presented before the thirty-first of January (31/1); judges are committed to maintaining said statement updated whenever a change arises. The Technical Committee of Judges shall supervise faithful compliance with these mandates.
 - d) Issue a report regarding the conditions and developments of the competition, as well as any possible incidence that may have arisen during the event. Said report shall be sent to the ANCCE Competition Department within seven (7) days.
 - e) Attend and participate in the assessment courses and to standardize criteria when summonsed by ANCCE.
 - f) Obey sentences affecting them and their actions, as issued by the Technical Committee of Judges and published by the ANCCE governing bodies.
 - g) Be legally able to invoice his/her fees as a Judge.
 - h) At all times, show suitable behavior both while in and outside the arena, including the following aspects:
 - Wear appropriate attire including dress trousers and jacket.



- Always wear the official ANCCE accreditation in a visible manner.
- Smoking in the arena is strictly prohibited.
- Interaction with breeders during the competition is prohibited; their hospitality may not be accepted, whether on the grounds where the competition is being held, or outside the venue.
- Behave in keeping with the responsibility of the task; judges shall not respond to provocation of any type, openly argue with colleagues, attempt to influence fellow-judges in a harsh and persistent manner, and fail to comply with the functional mandates given by the OC at each competition.
- Failure to comply with any of these rules could lead to the Competition OC, after having consulted with the TCJ, to immediately terminate the Judge in his/her functions. The OC shall send a report of any events to the Technical Committee of Judges as well as the Competition Commission.
- i) Judge those competitions for which they have been appointed and previously accepted, except in the case of force majeure.
- j) Accept the appointment, except in the case of sufficient circumstances to justify rejection, and that the Technical Committee of Judges assesses this as such and with the approval of the Competition Commission. Reiterated refusal to attend the designated competitions could be analyzed by the TCJ for the possible termination as a judge throughout the current season.
- k) Judge all sections that correspond to a competition, without abandoning the facilities where the event is being held, until the event has concluded in full.
- I) All Judges shall be available to judge at the ANCCE World Championship Final.
- m) Sign the official Competition reports at the end of said competition.
- n) Judges acting at a competition shall remain on site for one (1) hour once the competition has concluded in full, being available to the participating breeders who request some type of clarification regarding the scores earned by horses they own. At all times, the Judge shall be accompanied by the Technical Director or Chief Steward. For this, the Organizing Committee shall publish the location and time said meetings are to take place.

Exceptionally, in the case of Functionality Judges, this judge may be available to the participating breeders during one (1) hour once the functionality test has concluded in full.

Article 38. At the beginning of each year, the Competition Commission shall request a sworn affidavit from the active judges where they state their availability to judge throughout the year, as well as not being involved in any conflict of interests as indicated in these Rules and Regulations. This affidavit shall be forwarded to the TCJ for later assessment.

Article 39. Organization of Judging Groups

At the beginning of each year, the Executive Committee, at the proposal of the Competition Commission and once the reports from the Technical Committee of Judges have been received, shall publish the list of authorized judges for this year, as well as the list of Judges who have not been approved, both for Conformation and for Functionality. The convergence of various circumstances

shall be taken into account, examples of which are: availability, professional career, experience, continual training and contributions to judging, use of the criteria from the Judging Manual, adaption to the values stated in the Code of Ethics and any other aspects that lead to a good judging and the independent and impartial image demanded by this task.

Equally, those Judges who are not considered as active or available for various reasons (choice, disciplinary reasons, etc.) shall also appear.

Article 40. System to Select Judges

ANCCE Qualifier Competitions:

Judges:

Judges shall be designated by means of a public draw, performed at the ANCCE offices by the Competition Department and under the supervision of the Competition Commission. Once the draw has taken place, the results will be reported to the TCJ and published on the ANCCE web site.

At ANCCE Qualifier Competitions, the following is mandatory, and without exception:

- a) All competitions that at their previous edition had eighty (80) or more participating horses shall be judges by two (2) Conformation Judges and one (1) Functionality Judge. In the case of international competitions, such events shall be allowed to be judged by two (2) Conformation Judges who shall also judge Functionality.
- b) Competitions that at their previous editions had less than eighty (80) horses may be judged by one (1) Conformation Judge for all horses or one (1) Judge for Conformation and one (1) for Functionality.

When an OC informs the Competition Commission about the material impossibility of having one or more judges, designated at the draw for said competition, this must be justified, but always in writing. The Competition Commission shall proceed to assign the corresponding substitute judges, with there being any possibility of revoking this decision under any circumstance. In such case that one of the main Judges is unable to attend any given competition, he/she shall be substituted by the corresponding substitute Judge/s as established at the draw. This situation shall be undertaken by the Competition Commission and reported to the TCJ.

Once the draw has been held and the Judge/Judges assigned to a competition, the request for a given number of Judges on behalf of the Organizing committee, under no circumstance may this number be altered.

All appointed judges shall respond, and in writing, that they have accepted or not, if and when there is a justified reason not to, said appointment and within no more than five (5) calendar days upon receiving the communiqué.



Article 41. Failure to attend a Competition for which one has already committed to judge shall be considered severe misconduct. Only in the case of force majeure or due to unexpected conflict of interests and after having informed the OC and the Technical Committee of Judges, may a member of the Judging Panel not perform his/her task. Likewise, any serious alteration of the competition schedule, attributable to the Judge and unjustified, shall be considered severe misconduct.

Any Judge who rejects his/her appointment, except when this rejection is the consequence of conflict of interests, shall explain the reason for said rejection before the TCJ. He/She shall not judge any other competition that coincides with those competition dates.

Article 42. Authorization for judges:

Annually, competitions included on the Official Calendar for the ANCCE World Championship Qualifier Competitions, and before the 31st of January (31/1), the Judges shall be established. Judges, both for Conformation and for Functionality shall be authorized as follows, by the Technical Committee of Judges:

a) Judges Authorized to Judge ANCE Competitions:

Every year, the Technical Committee of Judges (TCJ) shall issue a report and send it to the Competition Commission with a proposed list of authorized and terminated judges for the current season.

Throughout the year, the Technical Committee of Judges is empowered to terminate judges, according to the precepts laid out in these Rules and Regulations, or to even disqualify judges for the reasons established in the Disciplinary Rules and Regulations.

The Technical Committee of Judges shall justify the termination of Judges, before the Executive Committee and before any affected Judge/s.

Article 43. Judging Model

A competition that is a qualifier for the World Championship Final shall be judged in keeping with the following model:

Competitions with a Single Judge or sections that, due given circumstances, only have one Judge for this task:

- The Conformation Judge shall use the standard form included herein as APPENDIX III.
- The same ensues in the case of a single Functionality Judge, who shall use the judging sheet provided in APPENDIX III.
- All of the boxes found in the judging sheet shall be filled out, using ink pen, avoiding erasures or corrections, in which case, these shall have the Judge's confirmation signature.

b) Competitions with more than one Conformation Judge:

- The Judges may not talk among themselves; however, each Judge must complete his/her own judging sheet, individually, and the final score shall be obtained based on mathematical average of the scores.
- All significant differences in scores regarding body regions observed throughout the competitions, among the acting judges, shall obligatorily be analyzed by the Technical Committee of Judges in their sessions. The matter shall be settled based on competition footage to adjust the score as carefully as possible to breed quality and as determined by the breed's Manual for Judging Conformation Competitions, with measures considered appropriate being taken.

Competitions with more than one Functionality Judge:

Judging shall be carried out jointly, and the score provided on a single score sheet based on consensus.

CHAPTER X – CONFLICTING INTERESTS FOR JUDGES

Article 44. The OC, having received the entries and information regarding conflicting interests, as declared by the Judges, shall proceed to ratify or modify the Judges that are to judge at the competition, in accordance with the stipulations of these Rules and Regulations. This shall be the only reason for which the OC may revoke the appointment of a Judge, if and when the latter has confirmed his/her acceptance timely and in due form. Should a breach of the above occur, it shall be considered a severe misconduct.

The maximum number of conflicting interests permitted for each of the Judges shall be that of two (2) sections and/or three (3) horses in total, except the exemptions as proposed annually for the World Championship Final.

Article 45. Before the 31st of December of each year, Judges who are also breeders, riders or presenters shall choose, by writing to the Technical Committee of Judges, between carrying out the duties of Judge or to participate in conformation competitions, for the year in question.

Article 46. No Judge shall judge a Section or a competition test in which there is a horse that could be involved in a conflict of interests or a situation that could be susceptible to affecting the judge's independence or impartiality.

Specific examples are listed below:

a) When a judge currently has, or has had in the past some type of relationship with a horse, whether a breeder, owner, sales or intervened in a transaction, circumstances relative to breeding, ownership or as a broker, extensive to cases in which the active judges has been the spouse or significant other, or relative (parent, descendant, cousins, in-laws) of said Judge to the second degree, whether by blood or marriage. This conflict of interest is extensive throughout the life of the horse.



- b) When a Judge has been a rider, presenter, or direct trainer of a given horse, or the presenter or rider of that horse, the conflict of interest shall be implemented for a period of three (3) years.
 - This conflict of interest is not applicable to situations of mere transmission of knowledge or an opinion expressed before various and indiscriminate groups, as in the case of courses, workshops, conferences, etc.
- c) When the horse to judged belongs to a stud farm with which said Judge or his/her spouse/partner maintains important commercial ties, the conflict of interest shall extend to a period of three (3) years, one said relationship has concluded.
 - Within the scope of this case are commercial ties or transactions that are not established directly by the Judge, but that said Judge clearly participates in this interaction, which creates situations susceptible to consideration. However, exceptions include those arising from providing essential public or official services or those arising within the scope of public institutions.
- d) When a Judge has used semen from sire stallions or any other type of assisted reproduction with horses from a given stud farm, the conflict of interest with all of the horses from that stud farm shall cover a three (3) year period. To calculate this timespan, the date on the covering/breeding certificate shall be used.
- e) When there are family ties to the fourth degree (grandparents, parents, children, siblings, cousins, aunt/uncles, in-laws), between the Judge and a presenter, rider, owner or breeder, the conflicts of interest shall be considered permanent.
- f) When there has been unique contact between the Judge and the owner of a given horse, the conflict of interest shall extend for six (6) months.

Any doubts that could arise regarding the interpretation of conflicts of interests shall be presented before the TCJ.

Likewise, this body may also detect situations, which, due to their nature, could clearly be seen as possibly affecting the Independence of the Judge. In such cases, having duly documented the situation, this concern shall be reported to the party involved, with the same effects as stated above.

Similarly, if a Judge consider him/herself to be involved in a case that could affect his/her independence and that differs from those stated herein, but in line with the Code of Ethics, he/she shall inform the TCJ as quickly as possible, so that said body may decide about the importance of the situation.

Once a Judge has stated a conflict of interests with a breeder, this conflict of interests shall affect the horses under the latter's ownership as well as all others bred under his/her breeder code.

Article 47. Should a conflict of interests arise between a Judge and a horse, breeder, presenter or rider, and there is a substitute Judge, the Judge with conflicting interests shall withdraw from the arena, the substitute Judge taking his/her place for that Section, including the Functionality Section, as would be the case.

If the Judging Panel includes more than one (1) Judge and there is no substitute Judge, the whole section, including the Functionality Section, as would be the case, shall be judged without the presence of the Judge affected by the conflict of interests.

When a competition is being judged by a single Judge and there is no substitute Judge, the horse affected by the conflict of interests shall be withdrawn from the competition and shall be treated as if it were not entered, with the entry fee being returned in full.

All the above is also applicable to any of the Special Awards, in such case that the horse affected by a conflict of interests be classified for any of these.

Judges shall appropriately inform the Technical Committee of Judges, and in advance, of any anticipated conflict of interests, as well as any such situation that may have arisen within a period not exceeding five (5) days once the conflict of interests has arisen.

Should there be breach of compliance regarding this obligation and the noncompliance revealed, the Judge shall be immediately disqualified from acting as a Judge for the season, without prejudice to the application of the Honor Code and Disciplinary Action as a result of his/her behavior.

No practicing Judge shall provide consultancy services of any type to any stud farm. Should this be the case, said Judge shall be disqualified from acting as a Judge for a minimum period of five (5) years.

When a Judge ceases his/her activity as a judge, and works as a consultant, trainer or any other similar activity on a stud farm, due to the logical and natural competition with other stud farms, said judge may not return to his/her activity as Judge until after three (3) years after the termination of said activity. Also, to return to judging activities, the judge shall surpass the corresponding refresher course as established by the TCJ.

With regards to renowned cases of consultancy, management and marketing of horses for a specific stud farm, performed by an active Judge, the conflicts of interest with that stud farm shall extend for a period of ten (10) years.

When a member of the TCJ ceases in his/her functions during a season, he/she shall not return until the next season.

CHAPTER XI – THE PANEL OF JUDGES



Article 48. The Panel of Judges for a Conformation-Functional Competition shall be made up of the corresponding number of Judges as stated in Article 10 of these Rules and Regulations and according to the categories of each competition.

Article 49. In such case that there is at least one (1) mare in Sections 7, 9 and 11 requesting to participate in the Functionality Test, said mare shall be judged together with the other horses in her age group by the corresponding Judge/s.

Special Awards shall be exclusively judged by Conformation Judges.

Article 50. Within the judging arena, the Panel of Judges shall hold maximum authority with regards to qualifying and the placings, in keeping with the rules established in these current Rules and Regulations. Their decisions, in terms of scores, are not subject to appeal.

Article 51. Decisions by the Panel of Judges that do not affect the scores of horses may be appealed, in writing, before the SAP.

The deadline for presenting an appeal is one (1) hour following the publication of the results once the section has concluded. Any appeal presented after this time period shall not be taken into consideration.

Only breeders, or the official stud farm representatives, as would be the case, shall be entitled to present an appeal and always in reference to horses owned by that breeder.

Article 52. The Panel of Judges shall adjust their judging to the breed quality as defined by the current legislation, following the mandates established in these Rules and Regulations, as well as those set out in the Conformation Competition Judging Manual that adjust the score for each body region of the horse.

Article 53. Judges may not do any of the following:

- Touch horses being presented.
- Neither receive nor provide any indication concerning the horses, their origins or results at previous competitions, or regarding their owners. Should this occur, the Chief Steward shall inform the OC. Similarly, the Chief Steward or Technical Director in the arena shall not make any comment regarding the horses being presented, with the judges having the obligation to report such behavior that violates this precept.
- Judges shall not present, ride, drive or hold any horse entered into the competition; in the same way, they shall not assume any duties as organizer or commentator, nor any other functions related to the competition.
- Judges shall not enter the arena with notes of any kind. They shall be limited to bearing the elements provided by the OC. Use of cell phones and electronic devices in the arena are strictly prohibited.

Article 54. To avoid third-party accidents, the Judges may eliminate any horse from the arena that clearly proves to be unmanageable, as well as any horses with blood stains on any part of their bodies.

Should this occur, the Judges shall report to the Chief Steward, who shall organize the ordered exit of said horse, which shall be eliminated even if it has already been judged. As a horse that has been eliminated, it shall be awarded the points that correspond towards the Best Breeder or Best Exhibitor Awards.

Article 55. Once the competition has concluded, the Panel of Judges shall sign, in the area designated as competition secretariat, two copies of the corresponding records, showing the results and scores of the horses from each Section and the Special Awards.

A copy of these records must also be signed by the Chief Steward and then be sent to ANCCE within a period not exceeding five (5) days following the conclusion of the competition. The remaining copy shall be kept by the OC.

This has no implication on the fact that the competition results, using a computerized format, shall be sent to ANCCE Competition Department, by Internet, within a period not to exceed forty-eight (48) hours following the termination of the competition.

Article 56. At all competitions, except for the ANCCE World Championship, the Panel of Judges shall not judge more than eighty (80) horses in a single day.

Article 57. The Organizing Committees shall negotiate the Judges' fees for each competition:

CHAPTER XII – JUDGING

Article 58. When judging, the judges shall always use the official forms, with the assistance provided by the OC.

Article 59. Horses shall be examined for their breed quality, for their conformation and their movements walking, trotting and cantering, in addition to the Functionality Test for those sections where stipulated, following the criteria established in the Judging Manual.

Article 60. Regarding the score sheet, as explained in Article 43, this shall be filled out individually and the final score shall be obtained by mathematical average. Calculations on the score sheet both in conformation and in functionality shall be rounded up with three (3) decimals.

Once the Judge's score sheet has been turned over to the secretary, said score sheet shall not be altered by any means, except when a signature is missing or a specific score has been overlooked. In this case, the secretary shall speak to the Chief Steward so that said error or omission may be amended.

To fill out the score sheet when there is a single Judge, he/she must use felt-tip pen, ink pen, fountain pen, or similar, and never a pencil or an erasable pen. Should a mistake be made, this shall be corrected with the corresponding rectification and then signed by the Judge.



The owner of a participating horse, or his/her staff, once duly identified, may request a copy of the score sheet once the competition has concluded. The organization shall establish and announce the time when score sheets may be requested.

All of the Judge's score sheets shall be sent to ANCCE within no more than five (5) days after the conclusion of the competition. Said score sheets shall include all of the section, such as the Special Awards and any possible ties that may have arisen, and as would be the case, specifying the divergences among the judges.

Article 61. The various sections shall be scored using the score sheets that appear in the following APPENDICES:

0.740

1.400

- Sections: 3, 4, 5, 6, 7, 8, 9, 10, 11, 12 APPENDIX III

- Sections: 13 and 14 APPENDIX III

Article 62.

\\/\IV

HANDLING

Score Sheet (APPENDIX III). Body regions and correction coefficients.

WALK	0.740
CANTER	0.740
TROT	0.740
HEAD	0.740
NECK	0.400
WITHERS, BACK & LOIN	0.920
CROUP & TAIL	0.740
THORAX, BREAST & ABDOMEN	0.740
FORELIMBS	0.740
HIND LIMBS	0.740
LIMB ALIGNMENT	0.740
GENERAL CONDITION & CONFORMATION-FUNCTIONAL HARMONY	0.920
BREED QUALITY	1.100
Score Sheet for cobras (APPENDIX III). Body regions and correction coefficients.	
MOVEMENTS 1.40	0

PRESENTATION	0.900
UNIFORMITY OF COAT COLOR	1.400
QUALITY & UNIFORMITY OF HEIGHT	1.400
QUALITY & UNIFORMITY OF CONFORMATION	1.700
QUALITY & UNIFORMITY OF BREED CHARACTERISTICS	1.800

Article 63. Each section of the score sheet corresponds to a different body region or movement, and is followed by twenty-one (21) squares from 0 to 10, including half points.

The Judge shall mark a cross in the box that best fits what he/she considers most appropriate for each body region.

Article 64. Functionality Test

The Functionality Test shall be judged by the designated judges from within the group of Functionality Judges. These may be one (1) or two (2) judges. When there is more than one Judge, the judging shall be carried out jointly, and the score appearing on the single score sheet shall be based on consensus. In the case of regional competitions where a specific Functionality Judge has not been appointed, this test shall be judged by the Conformation Judge/s following the same system.

The judging shall be performed in keeping with the age of the horses. The 4-year-old age range (Sections 7 and 8) shall score as foreseen in the score sheet found in APPENDIX III and according to the Functionality Test provided in APPENDIX IV.

For the 5-year-old age range and older (Sections 9, 10, 11 and 12), scores shall be granted in keeping with the score sheet provided in APPENDIX III and with the Functionality Test provided in APPENDIX IV.

The Functionality Test shall be mandatory for all horses in Sections 8, 10 and 12. The number of horses performing the test shall be based on the number of horses participating in each of the Sections:

- Sections with up to 15 horses, the first 10 horses shall perform the test.
- Sections with between 16 and 25 horses, the first 12 horses shall perform the test.
- Sections with 26 or more horses, the first 15 horses shall perform the test.

The horse placing first in Sections 8, 10 and 12 for movements may perform the functionality test, although said horse is not obliged to do so.

The mares in Sections 7, 9 and 11 that wish to voluntarily perform the Functionality Test shall inform the OC when entering the competitions, so that the OC is in a position to include said horses with others in the same age group. The score earned in the Functionality Test shall not affect the final score, but it shall be taken into consideration within the classification of the horses in the age range,



and therefore, aspire to the win the Special Award at the Competition's Absolute Functionality Champion.

To determine the number of mares that may perform the Functionality Test, the same criteria shall be applied as with stallions.

Article 65. When a classified horse fails to perform the Functionality Test, whether or not the motivation is justified, it shall keep 75% of its Conformation Score, to then occupy the position corresponding to that score. Should said horse be entered for the Best Breeder or Best Exhibitor Award, the points corresponding to that position shall be tallied, in keeping with the table provided in APPENDIX V.

Should non-appearance be due to unjustified causes, said horse shall be eliminated; the corresponding points shall be tallied and the owner shall be liable for serious misbehavior, as foreseen in the Disciplinary Code.

Any horse that fails to perform the Functionality Test may never aspire to hold the title of Competition Champion or Reserve Champion, nor may it qualify in that competition for the World Championship Final.

Article 66. Should a horse be disqualified in the Functionality Test, the tally shall consider the test as not performed, even when certain paces have already been scored. Said horse shall retain 75% of the Conformation Score, and applied as described in the previous Article.

Article 67. In this test, the judges shall assess the horse's natural paces, walk, trot and canter, scoring aspects such as the mechanics, rhythm, easiness, impulsion and execution of good balance. No penalties shall be given for mistakes made by the rider; however, the rider must perform the specific figures for each test. Should there be a mistaken while performing the route, the Judge may stop the participation and indicate how the rider should continue. Three (3) or more mistakes during the route shall be considered technical incompetence and that horse shall be eliminated.

Horses shall demonstrate the good handling or submission during the test, as a psychological characteristic of the breed.

Article 68. The score obtained by stallions for said test accounts for 25% of the total score. The score obtained by mares in the Functionality Test shall not count towards the final score.

Article 69. The rider may select the presentation style; he/she shall wear the attire that matches the saddle used. The types of saddles and the attire are indicated in Article 34.

Article 70. Sections

All of the horses presented at Conformation-Functional Competitions shall be organized into Sections, according to their age, as verified on their passports. Ages shall be calculated by calendar years, as of January first (1/1) of the year in course, and shall be distributed as follows:

(Note: Sections 1 and 2, for yearling fillies and colts respectively, are not recommended for the development of young horses; therefore, should fillies/colts be included at a competition, they shall not

be taken into consideration for the Special Awards, nor the Best Breeder/Exhibitor. The same holds true for Section 15 (Geldings) where the score shall not be taken into consideration for the Special Awards, nor the Best Breeder/Exhibitor.

- Section 3 (2-year-old fillies)
- Section 4 (2 colts)
- Section 5 (3-year-old fillies)
- Section 6 (3-year-old colts)
- Section 7 (4-year-old mares)
- Section 8 (4-year-old stallions)
- Section 9 (5 & 6-year-old mares)
- Section 10 (5 & 6-year-old stallions)
- Section 11 (7+ year-old mares)
- Section 12 (7+ year-old stallions)
- Section 13 (3-mare Cobras)
- Section 14 (5-mare Cobras)

Article 71. At all Competitions, whatever the category, Sections 3, 4, 5, 6, 7, 8, 9, 10, 11, 12 and 13 are mandatory. Section 14 may be taken into consideration, but it shall not be included for the Special Awards.

CHAPTER XIII - PROCEDURES

Article 72. When a horse enters the arena

Prior to entering the arena, the Technical Director and/or Chief Steward shall verify the existence of possible phenotypic alterations as indicated in Articles 16 and 34. Should there be proof of an alteration, the presenter shall be strongly advised to remove it. Should the recommendation not be followed, said horse shall not be allowed to enter the arena and therefore be eliminated.

The Chief Steward shall allow the entrance of presenters and their horses at the time established on the schedule; previously and prior to the start of each section, the OC shall be informed. Presenters shall enter at walk refraining from any trot or canter movements or warm-up exercises which should have been performed in the warm up arena.

Once the horses are in the arena, the Chief Steward shall position them by tag number to one side. If a horse fails to show up, said horse shall be summoned by means of the PA system. After five (5) minutes, should said horse fail to appear, another announcement shall be made. The Chief Steward shall proceed to close the arena two (2) minutes later. Beyond this point, no horse may access even if the preview lap has not yet begun.

Article 73. Once the arena has been closed, the preview lap shall start. Under the Judge's criteria, all of the horses shall proceed to perform, at walk, between one (1) and three (3) laps by tag number. Once the preview lap has concluded, these situations may occur:

For the benefit of the Competition, the horses shall be placed on one side of the arena following the indications of the Chief Steward.



- When the OC has an adjacent warm-up arena, horses may leave in an organized manner so once called by the Chief Steward, they access the judging arena according to their tag number. The horse next in line shall be ready at the entrance of the arena. Under no circumstances shall horses return to their stables while waiting to be summoned in the arena. Both the attitude of horses and presenters shall be the same as if they were in the main arena as stated in Article 34. The Chief Steward or the Technical Director shall have absolute control over all events taking place within the adjacent arena.

After having been called to the arena, any horse that fails to appear immediately shall be eliminated.

Article 74. Horses shall exit the arena at walk, one by one from the closest end to their location. They shall walk down the center line of the arena and once they have reached the end they shall perform at least four (4) laps at canter and afterwards they shall trot to the other end of the arena, also along the center line. Upon reaching the end, horse and presenter shall turn around and return to the center of the arena in trot where they halt.

Presenters shall have a limited amount of time, two (2) minutes and fifteen (15) seconds, to present their horses in movement. After this time, horse and rider shall be summoned to the center of the arena and any movement performed outside of the established time slot shall not be taken into consideration. If in fifteen (15) seconds horse and rider are not in the center of the arena, they shall be eliminated. Should there be an accident or a case of force majeure, the clock shall stop and shall start again once the incidence has concluded.

Article 75. Once the horses are stopped in the center of the arena, the Judge or Judges shall proceed to fill out the score sheet. At the end of the judging, the Chief Steward shall allow the presenters to leave the center of the arena, to stand with his/her horse in the last place of the line, or exit the arena if the presentations are individual.

Article 76. While a horse is being judged in the arena, whether in movement and at halt, the rest of the presenters shall ensure their horses remain relaxed and refrain from moving them. Even if they are located at the end of the arena, they shall avoid creating distractions or agitation in the horse being presented.

Article 77. When the last horse has been judged, the auxiliary staff designated by the OC shall draft the classification for the entire section. Said classification shall not be altered except due to administrative mistakes. The Chief Steward shall read aloud the first ten (10) classified horses in reverse order and these shall enter the center of the arena to receive the awards.

Article 78. In case of a subsequent disqualification, the rest of the horses shall automatically move up a place in the final classification of their section. Thus, the new list of winners shall be drafted and announced.

Article 79. Ties

In the event of a tie, only the first five (5) places shall go forward to a break said tie; other horses that are tied shall be considered as *ex aequo* (tied).

REGLAMENTO DISCIPLINARIO DE JUECES | ANCCE CONCURSO MORFOLÓGICO-FUNCIONAL ANCCE | PURA RAZA ESPAÑOLA

When the Panel of Judges includes more than one Judge, the horses affected by a tie shall enter the center of the arena. The judges shall agree on which horse/s is/are the best qualified. The decision shall be reported to the Chief Steward who shall notify the audience. If an agreement has not been reached, the winner shall be decided by the majority votes of the judges. If the tie cannot be resolved, the Deciding Vote shall be used. Once the Functionality Test has concluded, to break the tie among the first five (5) horses in Sections 8, 10 and 12, the following parameters shall be taken into account and in this order:

- Highest score in Movements.
- Highest score in Conformation.
- Highest score in Functionality.
- Should the tie continue, the Deciding Vote of the Conformation Judge shall be used.

When there is a single Judge, he/she shall proceed to break the tie to determine the position in the final classification by observing the horses where they are or in the center of the arena or should this not be considered necessary, by consulting the score sheets or personal notes, as deemed appropriate.

Should there be a tie for the Best Movement Award in a section, and there is more than one Judge, the procedure shall be as already indicated, but always repeating the movements of the affected horses. Should there be a divergence, the proclamation shall be done by majority votes; should the tie persist, the Deciding Vote shall be used.

When there is a single Judge, and to break a tie to determine the Best Movement of the section, the movements of the affected horses shall be repeated.

Should there be a tie for the Section's Best Functionality Award, the horse with highest total score for movements in hand shall be considered the winner; should the tie persist, the total score for the section shall be taken into consideration. Should the tie persist, the score sheet and personal notes shall be considered, when deemed necessary, to break the tie.

Article 80. Deciding vote

The Deciding Vote of Judges has been established at Conformation Competitions when there is a tie. Such situations shall be solved as indicated below:

At competitions with 2 Judges: each Judge has the deciding vote in the following sections:

- Judge 1: sections, 4, 5, 8, 9, 12, 13. - Judge 2: sections, 3, 6, 7, 10, 11, 14.
- At competitions with 3 Judges: each Judge has the deciding vote in the following sections:
 - Judge 1: sections, 6, 7, 12, 13.
 - Judge 2: sections, 5, 8, 11, 14.
 - Judge 3: sections, 3, 4, 9, 10.

For **Special Awards**, the deciding vote shall be cast as laid out below:



At competitions with 2 Judges:

Judge 1: when faced with a tie, his/her vote shall decide the following Awards:

- Champion of Movement.
- Youngstock Filly Champion.
- Youngstock Colt Reserve Champion.
- Reserve Mare Breed Champion.
- Adult Champion.
- Functionality Champion (Judge 1 for Functionality).

Judge 2: when faced with a tie, his/her vote shall decide the following Awards:

- Youngstock Champion of Movement.
- Reserve Filly Champion.
- Youngstock Colt Champion.
- Mare Breed Champion.
- Reserve Stallion Breed Champion.
- Reserve Functionality Champion (Judge 2 for Functionality)

At competitions with 3 Judges:

Judge 1: When faced with a tie, his/her vote shall decide the following Awards:

- Adult Champion of Movement.
- Youngstock Colt Champion.
- Reserve Mare Breed Champion.
- Functionality Champion (Judge 1 for Functionality

Judge 2: When faced with a tie, his/her vote shall decide the following Awards:

- Youngstock Champion of Movement.
- Youngstock Filly Champion.
- Youngstock Colt Reserve Champion.
- Stallion Breed Champion.
- Reserve Functionality Champion (Judge 2 for Functionality).

Judge 3: When faced with a tie, his/her vote shall decide the following Awards:

- Youngstock Filly Reserve Champion.
- Mare Breed Champion.
- Reserve Stallion Breed Champion.

CHAPTER XIV - AWARDS

Article 81. Awards for each section

In each section, at least the following Awards shall be granted:

- Champion of the Section, gold medal and/or trophy.
- Reserve Champion of the Section, silver medal and/or trophy.
- 3rd place in the Section, bronze medal and/or trophy.
- Award for Best Movement (B.M.)
- Award for Functionality (M.F.) when appropriate

At each competition, the rosettes (ribbons) and/or diplomas shall be granted by the OC together with the Awards. The OC may grant as many Awards and Honorary Mentions as deemed appropriate.

The Awards for Best Movement in a given section shall be granted to the horse competing in Sections 3, 4, 5, 6, 7, 8, 9, 10, 11, and 12 with the highest score, once the scores for walk, trot and canter have been tallied.

A Functionality Award for sections 7-8, 9-10, and 11-12 have been established for the mare or stallion that obtains the highest score in the Functionality Test, from among the finalists in the sections for the same age group.

Article 82. Special Awards

At all competitions, whether national or international, the following Special Awards shall be offered:

- Best Breeder
- Reserve Best Breeder
- Best Exhibitor
- Competition Stallion Champion and Reserve Stallion Champion
- Competition Mare Champion and Reserve Mare Champion
- Colt Champion and Reserve Colt Champion
- Filly Champion and Reserve Filly Champion
- Functionality Champion and Reserve Functionality Champion
- Champion of Movement and Reserve Champion of Movements

Sections 1, 2 and 15 shall not be taken into consideration for any of the Special Awards, nor for Best Breeder and Best Exhibitor Awards.

1. BEST BREEDER AWARD

Any breeder seeking for the Best Breeder Award shall ensure the following requirements:

- All horses seeking this Award and presented at the Competition shall have been bred by that breeder with his/her breeder code. Nevertheless, this award may be sought by those stud farms which have been divided, whatever the reason, although those horses have different breeder codes, if and when those horses have descended from the same family tree or from business groups of a same breeder. In both cases the horses shall



have been transferred to the new stud farm in the year of the division and without intermediate changes.

- The horses shall be owned by said breeder.
- Present horses in at least six (6) sections in which a broodmare shall be presented in Sections 7, 9 or 11, a stallion in any of Sections 8, 10 or 12 and a cobra in Section 13.
- Present horses at least another three (3) different Sections, those of which shall not be for mares or stallions indicated above. Competitions in which yearlings participate (Sections 1 and 2), these shall not enter into the tally for the Best Breeder Award.

The Best Breeder Award shall be granted to the stud farm that scores the highest mathematical average of the six (6) horses with the highest scores obtained in their section, of all the horses presented by that stud farm.

In those cases, in which a breeder participates in more than six (6) sections, the six tags belonging to different sections will be taken into consideration for the total tally, this being the horse with the highest score. Said horse shall be from at least Section 13 and another from Section 7, 9 or 11 and another from Section 8, 10 or 12.

The scores obtained by each horse shall depend on its place and the section where it participates, based on the table in **APPENDIX V**, to which additional points shall be granted towards the Special Awards:

- Mare/Stallion Champion: 3 points

Reserve Mare/Stallion Champion: 2 points

- Colt/Filly Champion: 2 points

- Functionality Champion: 2 points

- Adult Champion of Movement: 2 points

Youngstock Champion of Movement: 1 point

Reserve Colt/Filly Champion: 1 point

Reserve Functionality: 1 point

The horse earning a Special Award shall continue to be considered a single horse, when the division is made. Those horses that, having been entered and accepted for this Award, and that failed to appear in their Section or that were disqualified, whatever the reason may be, shall tally points based on the table in APPENDIX V.

Section 13 shall be calculated the same as the Sections for individual horses. Thus, the score established in APPENDIX V shall be added to the total for the stud farm and the number of horses presented by said stud farm, adding a single unit to the number of horses presented by that stud farm. The horses presented in Section 14 shall not take part of the calculations for the Best Breeder Award; nevertheless, should there be a tie, this criterion shall be used.

In the event of a tie, this shall be resolved in favor of that Stud Farm which has:

1. Presented a 5-mare Cobra.

- 2. Earned the highest score in this Section, if there is more than one Stud Farm in this situation.
- 3. Earned the highest score in the Section for 3-mare Cobras.
- 4. Presented the greatest number of animals for the Best Breeder Award.
- 5. Presented a mare in the Functionality Test.
- 6. Presented the greatest number of stallions in the various Sections.
- 7. Presented the greatest number of mares in the various Sections.

2. RESERVE BEST BREEDER AWARD

The Reserve Best Breeder Award shall be granted to the stud farm that has earned the second-best score from among the participating stud farms seeking this award.

3. BEST EXHIBITOR AWARD

All stud farms that fulfill the specifications laid out in these Rules and Regulations and present horses belonging to them in the same Sections established for the Best Breeder Award may seek the Best Exhibitor Award. The horses presented shall be owned by that Stud Farm, although all or some of the horses entered were bred under another breeder code.

The calculation of the quotient shall be undertaken in the same manner as described for the Best Breeder Award.

In the event of a tie, the same criteria as for the previous award shall be applied.

4. COMPETITION STALLION CHAMPION AND RESERVE STALLION CHAMPION

The Stallion Champion and Reserve at a given Competition shall be chosen from among those placing 1st and 2nd in Sections 8, 10 and 12, and as indicated below:

- This test shall be scored by all of the Conformation Judges, who shall evaluate the horses standing still. Whenever there are two panels of Judges, those in the championship must perform the movements performed in their Section.
- The horse in first place from each of the indicated Sections shall stand in the center of the arena. Those placed second shall remain at one end.
- The judges shall then proceed to compare the three (3) horses that placed first in their corresponding Sections.
- Each Judge shall award three (3) points to the horse he/she considers to be the best, two (2) points to the one considered second best and one (1) point to the third.
- The Chief Steward shall tally the points given by each of the judges. The Champion shall be the horse with the highest number of points.
- Subsequently, the Champion Stallion shall go to one end of the arena; his place shall be occupied by the horse that placed second in the Section. The Reserve Champion shall then be chosen by comparing him with the two other horses that were already in the arena, as indicated above.
- In the event of a tie, the Judges shall vote once again, only scoring the two tied horses, giving two (2) points to the horse considered the best and one (1) point to the second.
- If there continues to be a tie, the deciding vote shall be used.

5. COMPETITION MARE CHAMPION and RESERVE MARE CHAMPION



The Mare and Reserve Champion shall be chosen from among those placed 1st and 2nd in Sections 7, 9 and 11. The Mare Champion shall be selected, and later the Reserve Champion Mare using the same procedures established for the Stallion Champion and Reserve Stallion Champion.

6. COMPETITION COLT and RESERVE COLT CHAMPION AWARD

This Award has been established for horses in Sections 4 and 6, to determine the Colt Champion and Reserve. The procedure for this award shall be identical as used for the Stallion and Reserve Stallion Champion.

7. COMPETITION FILLY and RESERVE FILLY CHAMPION AWARD

This Award has been established for two (2) horses placing first in Sections 3 and 5, from which the Filly Champion and Reserve shall be decided. The procedure shall be identical to that established for the Competition Mare and Reserve Champion.

8. FUNCTIONALITY CHAMPION AND RESERVE FUNCTIONALITY CHAMPION AWARDS

The Functionality Champion Award shall be awarded automatically to the horse that has obtained the highest score when performing this test, and from among all of the participants in the sections for stallions and mares, according to age groups (Sections 7-8, 9-10, and 11-12). Likewise, the Reserve Functionality Champion shall be the horse that obtains the second highest score.

In the event of a tie, the winner shall be for the horse with the highest score on the Conformation score sheet, including movement in-hand. Should the tie persist, the winner shall be the horse with the best score for in-hand movements and as a third option, the vote among the Judges. If the tie still persists, the deciding vote shall be used.

9. YOUNGSTOCK and ADULT CHAMPION OF MOVEMENT AWARDS

Two (2) awards have been established for Best Movements, based on the age of the horses: Youngstock and Adults. In both cases, the horses shall perform doubt the laps when being judged in their section.

- a) Youngstock Champion of Movement: Horses that were Champions of Movement in each of the Sections 3, 4, 5 and 6 may compete. Together, these Champions shall compete against each other, by comparison for the Youngstock Award as Champion of Movement. Each Judge shall vote for the horse, who, in his/her opinion, has performed the best paces. The horse earning the most votes shall be considered the winner. In the case of a tie, the horses involved shall perform a repeat itinerary, the same as performed initially, and the Judges shall once again vote the best among those involved in the tie. Should the tie persist, the deciding vote shall be used.
- b) Adult Champion of Movement: Horses that were Champions of Movement in each of the Sections 7, 8, 9, 10, 11 and 12 may compete for this award. These Champions shall compete against each other, by comparison for the Adult Champion of Movement Award in hand, using a direct voting system. Each Judge shall vote for the horse, which, in his/her opinion, has performed the best paces. The horse earning the most votes shall be considered the winner. In the case of a tie, the horses involved shall

perform a repeat itinerary, the same as performed initially, and the Judges shall once again vote the best among those involved in the tie. Should the tie persist, the deciding vote shall be used.

Article 83. All horses qualifying for the Special Awards must participate. In the event that a winning horse fails to appear to receive any of the Special Awards—independently of the reason—it shall be disqualified, thusly leading to the loss of any and all acquired rights and said horse shall be considered serious misconduct, when not justified. If this were the case of the first-place winner, the second-place winner shall take its place and shall be judged with the other horses in first place. Should the non-appearing horse have qualified second in its Section or be the horse with Best Movements, substitution shall not be allowed.

Article 84. Awards Ceremony

The OC shall announce the schedule and location of the Awards Ceremony on the Competition Program. Attendance is mandatory for any horse earning an award.

In the event that a winning horse fails to appear to receive its Award, the owner shall lose said Award/s and there shall be no winner. The penalty is applicable to all awards for horses presented by that owner. This shall be considered a serious misconduct and shall lead to the loss of any and all acquired rights. Only through the presentation of a written authorization from the competition veterinarian may attendance to the awards ceremony be missed.

CHAPTER XV. APPEALING OC DECISIONS

Article 85. All decisions adopted by the OC may be appealed before the SAP (Superior Appeal Panel) within one (1) hour.

CHAPTER XVI. ANTI-DOPING TESTING

Article 86. The administration and/or use of substances seeking to mask the physical state of a horse are totally prohibited.

Should the competition veterinarian so authorize, an exemption may be requested for the use of certain substances. Said veterinarian shall issue the corresponding report in this regard. Should a horse be subject to a given treatment, a list of medications being given to the horse shall be presented to the OC.

CHAPTER XVII. TECHNICAL COMMITTEE OF JUDGES

Article 87. Composition:

Prior to the thirty-first of December (31/12) of each year, the ANCCE Executive Committee, with the ratification of the Board of Directors, shall appoint the members of the Technical Committee of Judges. This Committee shall include the following members:

Conformation: two (2) or three (3) members who are judges, one who is the President, another who is the Secretary and a third as Member-at-large, as would be the case.

Functionality: one or two members, depending on the needs derived from competition activity.



Members of this Committee shall comply with the following aspects:

- They are committed to not judging while being a member of the aforementioned Committee.
- They shall not belong to any of the ANCCE executive bodies.
- They may not participate in Conformation Competitions, whether as a breeder, veterinarian, presenter, rider, trainer, consultant or member of the OC.
- When a member of the TCJ ceases in his/her functions during a given season, he/she shall not judge until the following season.

Article 88. Responsibilities & Competencies:

Applied exclusively and binding, the Technical Committee of Judges shall have the following competencies:

- Annual authorization of Judges, implementing training courses for Judges as deemed appropriate. In the case of training courses for Judges, these shall be coordinated by the Competition Commission.
- Assessment and follow-up of the activities that the Judges, while carrying out their
- Initiate disciplinary file for Judges and disqualify them.
- Assess conflict of interests presented by the judges every year.
- Designate those Judges who shall judge the World Championship, notifying the Competition Commission in advance; said Commission shall then inform the Executive Committee.
- According to Article 42, the Technical Committee of Judges shall suggest the authorization or dismissal.

As a guide, they may present reports to the Competition Commission regarding possible changes in the Rules and Regulations and the Competition Judging Manual.

In all cases, and to correctly perform and carry out their responsibilities, the Technical Committee of Judges shall consider any possible offences committed by judges while carrying out their activity as listed in the Conformation and Functionality Disciplinary Rules and Regulations for Judges and listed below:

Minor Misconduct:

- a) Failure to comply with the standards of decency and attire as established in the Rules and Regulations while carrying out his/her tasks.
- b) Access the arena with material other than what is provided by the Organizing Committee or authorized by ANCCE.
- c) Failure to consult with the TCJ about any incidence that may have arisen throughout the course of the competition.
- d) Smoke or eat in the arena

Serious Misconduct:

- e) When judging, failure to respect the principle of priority based on the order established by the
- f) Attempted and occasional duress with fellow judges to influence the score of a horse.
- g) Failure to comply with the schedule regarding commencement times for the Sections or Functionality Tests, as established by the OC, but when this translates into a limited disruption for the smooth running of the competition.
- h) Belittle breeders, riders and presenters or other Judges, members of the Organizing Committee, arena staff, or other Judges.
- i) Take the reins of a horse, or ride a horse that is going to be judged.
- j) Use of a cell phone or other electronic device in the arena during the judging process.
- k) Fraternize or have a more than friendly relationship with breeders, their direct family members, presenters, riders or trainers that may take part during, before or right after Contests, thus jeopardizing the image of fairness, independence and unbiasedness as described in the Code of Ethics.
- I) Failing to inform the Organizing Committee and other ANCCE authorities about derogatory comments or insults made against them from breeders, stud farm representatives, presenters, riders, or other judges during the course of their work, and that should be pointed out for correction at a future date, as described in the Competition Rules and Regulations.
- m) Continuously fail to attend the training workshops when summonsed, or show an apathetic attitude, or lack of interest during said courses.

Committing two events of minor misconduct in a year shall be considered one incidence of serious misconduct.

Severe Misconduct:

- a) Judge any competition that fails to have ANCCE authorization, or to participate in a competition when a penalty has been imposed, as well as judge competitions that are not included on the official ANCCE calendar, without the corresponding authorization from the competent authority.
- b) Continually pressure or coerce judges and impose his/her will upon another Judge while judging horses.
- c) Failure to attend a competition for which he/she has been appointed and notified without prior warning due to force majeure to justify his/her absence.
- d) Failure to appear to judge a section, or be at a meeting summonsed by the competition Organizing Committee, or be tardy to the extent that it hinders the smooth running of the competition.
- e) Continued failure to apply Breed Quality standards and implement the Competition Judging Manual while judging, when the judge's actions have been determined to be dishonest or willful negligence by Technical Judge Committee who shall consider technical aspects, social or economic circumstances that may clarify the situation.
- f) Failure to comply with any of the rules governing conflict of interests in the exercising of their functions or the prohibition to perform concurrent activities, as established in these Rules and Regulations.



- g) Any aggression, insult, aggravation, threat, coercion or slander against presenters, riders, participating breeders, members of the Organizing Committee or other Judges.
- h) Failure to respect the indications of the Chief Steward or any other member of the OC regarding the rules of conduct as established for the smooth running of the event, or the planned relationship with the various participants.
- i) Attend judging while under the effects of alcohol or narcotics or appear in public while under the influence or in an offensive manner during the Competitions.
- j) Failure to fill out the score sheet or take actions seeking to disrupt or change the judging following the established norms, whether due to gross and willful negligence.
- k) Failure to report any conflict of interests, or update the corresponding form immediately when unexpected conflicts of interest arise. In such case that a horse from a stud farm is judged when the Judge has failed to inform that conflict of interests, whether due to gross or willful negligence, the applicable punishment shall be the permanent disqualification of said judge. By simply failing to report a conflict of interests dictates a penalty as a 5-year disqualification to judge any type of competition.
- I) Failure to comply with the mandates for conflict of interest as contemplated in these Rules and Regulations.
- m) Any other behavior included in these Competitions Rules and Regulations that may be considered a punishable breach and/or that clearly goes against the Code of Ethics, thus harming third parties.

And any other aspect which, in their opinion, is a flagrante breach of these current competition Rules and Regulations.

Two or more incidents of serious misconducts throughout the course of one year shall be considered an incident of severe misconduct.

Article 89. Procedure

The Technical Committee of Judges shall meet as many times as desired and comply with the Competition Commission.

In all cases, the agreements reached by the majority of its members shall be justified and in writing in the reports sent to the ANCCE Executive Committee.

In the light of a conflict of interests, the affected member of the Committee shall abstain from voting in the resolution adopted.

Article 90. Duration

The appointment of the members of the Technical Committee of Judges shall have a term of one (1) calendar year. It is understood that the Competition season runs from January 1 (1/1) until December 31 (31/12).

Every year, the ANCCE Executive Committee shall proceed to appoint the members of the Technical Committee of Judges, who, nevertheless, may be removed from their post prior to the end of their term when:

- a) A member fails to attend three (3) meetings established on the work calendar, without justified cause.
- b) Due to disqualification by the other members of the Technical Committee of Judges, in writing, addressed to the Executive Committee, in which they provide well-founded considerations based on failure to perform the typical tasks of judging, or failure to exercise the tasks of judging in a manner that is grossly and willfully negligence, with the ANCCE Executive Committee proceeding to approve or not, the disqualification presented.
- c) Failure to comply with the conditions foreseen in Article 87 of these Rules and Regulations.
- d) Due to death or incapacitating illness.

In the light of any of the situations presented herein, it shall be the responsibility of the ANCCE Executive Committee to proceed to substitute the member or members of the Committee. They may be advised in this task by those making up that Committee at that time.

TRANSITORY TERMS

These current Rules and Regulations shall be applied directly and immediately as of the day they are officially published.



DISCIPLINARY CODE FOR CONFORMATION COMPETITIONS

CHAPTER I - DISCIPLINARY PROCEDURE

Article 1. Relevant Authority

For all purposes, these Rules and Regulations are the relevant authority to launch, instruct and solve disciplinary procedures for the ANCCE Conformation Competition Commission.

The instructor of the case shall be the person freely designated by said Commission, who may be from outside.

The Competition Commission shall adopt its agreements based on simple majority vote of present and represented members.

Article 2. Subject to the Disciplinary Code

The jurisdiction of this Disciplinary Code is applicable and covers all those agents who are actively involved in Conformation Competitions: Organizing Committees, Technical Directors, Chief Stewards, Auxiliary Staff at the service of Breeders and/or the participating Breeders, who are, in turn, also responsible for the actions, misconduct and violations and/or penalties committed by their representatives, relatives, employees, riders and/or presenters.

Both Conformation and Functionality Judges are excluded from this jurisdiction as their activity is covered and subject to a specific disciplinary regime.

In such case that events might arise during the course of Conformation Competitions and the breeders or stud farms covered by a disciplinary action are members of ANCCE, the Competition Commission shall determine, case by case, the applicable disciplinary action, whether by these Rules and Regulations, or the ANCCE By-laws.

Article 3. Initiation Format

Launching a disciplinary file shall give way to the facts and/or events being presented before the Competition Commission, either ex officio or at the request of one of the parties.

In this latter case, a written complaint shall be presented before the Commission, within a timespan that is no longer than the statute of limitation, as foreseen in Article 12 of this Disciplinary Code.

All those agents who intervene in the normal development of Conformation Competitions, as described in Article 2 of this Disciplinary Code, have the legitimate right to present a formal complaint.

The Commission, prior to agreeing to launching a disciplinary file, shall proceed to analyze the case, to then proceed to file the case, if, in the opinion of the majority, the events are not considered to be misconduct or an infringement of the provisions.

Article 4. Initiation of Proceedings

The agreement to initiate disciplinary proceedings shall be formalized with at least the following content:

- a) Determination and identification of the person or people who are allegedly responsible for the misconduct or breach.
- b) Specifics and details of the events being charged.
- c) The name of the instructor appointed for the case.
- d) Tentative measures to be applied.
- e) Right to be heard and formulation of allegations and deadline for each.

The instructor shall be informed about the agreement to initiate proceedings, including as many actions in this regard as deemed necessary; it is he/she who shall notify the plaintiff and the interested parties, understood in all cases as the alleged offender or offenders. Should these allegations not be made within ten (10) calendar days as of its reception, the responsible authority may issue unprecedented judgement without this being considered defenselessness.

In such case that it is the launching of disciplinary actions against breeders or Organizing Committees, it shall be understood that said party has been duly informed in the person who appears as the representative of that stud farm on file at ANCCE, in the competition entry form or in the case of an OC, the person whose name appears on the annual Competition Calendar, as the person of contact.

Communiqués shall be sent by fax, registered fax with acknowledgement, e-mail or certified mail, with an official acknowledgement or verification of reception, opening or reading on the web, sent to the interested party/parties. Failure to receive or to return a notification sent to the address, data and/or address facilitated by the parties to the organization of each event, and that, as would be the case, are on file at ANCCE, shall be understood as notified and under no circumstance, shall it stop or delay the deadlines granted for the presentation of allegations.

Article 5. Tentative Measures

At any time, the responsible body may adopt, based on reasoned opinion, tentative measures as deemed necessary to guarantee the effectiveness of the sentence that may be dictated, the smooth running of the procedure, and to avoid maintaining the effects of the infringement and safeguard general interests.

The punishment that the offender may have complied with in virtue of the tentative measures, including the temporary loss of rights, shall be taken into consideration and calculated, for the application of and compliance with the final sentence that the case may establish.

Article 6. Actions and Allegations

Once the launching of actions has been communicated:



- a) The parties have ten (10) calendar days to present and provide any and all allegations, documents or reports they deem necessary, and in their case, propose specific proof of the means they seek to use for their defense.
- b) With the notification referred to in the previous point having been sent, the instructor of the procedure shall carry out, ex officio, as many actions as may be necessary to examine the facts, and collect the data and reports that are relevant to determine, as would be the case, the existence of responsibilities susceptible to disciplinary actions. Evidence proposed by the parties may be accepted or rejected, based on the criterion of the instructor when, in his/her opinion, said evidence fails to pursue the clarification of the events, depends on presence of third parties, are difficult or impossible to carry out or delay the procedure in time.
- c) For evidence declared relevant, the execution period shall have a maximum duration of fifteen (15) calendar days.

Article 7. Proposed Sentence

A summary of the proven facts and/or events, which are the base for legal assessment, shall be provided. These shall determine the offence and as would be the case, those, whether an organization, person or people, who are deemed responsible. Likewise, it shall contain the proposed disciplinary action to be imposed, and shall take into consideration the tentative measures that may have been adopted in the case, or, on the other hand, may decide that there is no offence or responsibility.

The instructor shall issue the proposed sentence within a term that is no greater than one (1) month as of the date the procedure began. The instructor may, when justified cause is seen, request an extension before the Competition Commission.

Article 8. Sentence

Once the instructor has presented the proposed Sentence before the Competition Commission, this may decide to:

- A) Accept it in all of its terms and legal arguments, as well as the disciplinary action proposed, as would be the case.
- B) Not accept it, to the proceed to:
 - a) Dismiss the case.
 - b) Scale the proposed disciplinary measures.
 - c) Impose disciplinary measures even when the proposed sentence advises the dismissal of the case.
- C) The responsible body shall write out the corresponding sentence, with the motivation that shall decide the questions presented in the procedure.

The sentence shall be adopted within a maximum of twenty (20) calendar days, as of the reception of the proposed sentence and the documents, allegations and information regarding the procedure.

These shall be sent, using the same resources and the persons already indicated in Article 4 of the Disciplinary Code.

The Commission may adopt or maintain the precautionary measures to guarantee the efficiency of the sentence, the good conclusion of the procedure, avoid maintaining the effects of the offense and the demands of general interests.

Article 9. Appeals

The sentence may be appealed before the ANCCE Executive Committee within an extendible period of ten (10) calendar days, following notification. A sentence shall be issued by this body within twenty (20) calendar days, beginning from the reception of the appeal, except in the case of motivated extension.

Said appeal shall be notified as foreseen in Article 4.

Article 10. Effects of the Sentence

A sentence arising from the ANCCE Executive Committee concludes the disciplinary process and becomes a sentence as of its notification. Nevertheless, it may be appealed before the justice system within a maximum of one (1) month as of the date of notification.

Said sentence shall be applied upon notification, except in such case that an authorized court of justice orders its suspension. A sentence that does not conclude the disciplinary process shall not be applied when an appeal has been presented within the established timespan.

Article 11. Register of Disciplinary Actions

The Commission's administrative secretary shall have a register of any and all disciplinary measures taken, due to the possible appearance of changing circumstances regarding responsibilities and when calculating the terms for the statute of limitation and compliance with disciplinary measures.

Article 12. Statute of Limitation

Minor misconduct prescribes one (1) month; serious misconduct is six (6) months, while severe misconduct is two (2) years, as of the day after the commission of the offence.

The statute of limitation shall be interrupted due to the launching of the disciplinary procedure. However, should this process be held up for one (1) month due to causes that are not attributable to the person or body involved in said process, the corresponding term shall continue, with the statute of limitation being interrupted again once the process of the file has continued.



CHAPTER II. HONOR CODE and DISCIPLINARY ACTIONS

Article 13. Minor Misconduct

In all cases, the following shall be considered minor misconduct:

- a) Behaving in an inappropriate and notorious manner at Conformation Competitions, sports events or Championships of any kind, thus altering the smooth running of the competition.
- b) The observations formulated before the Judges, technicians and other official members of the competition, Directors and other competition authorities while carrying out their duties, in such a way that they may be understood as being slightly impolite.
- c) Being slightly impolite with the audience, Judges, members of the OC, breeders, riders and presenters.
- d) Showing a passive attitude when complying with orders and instructions received from Judges and members of the OC while performing their duties.
- e) Failure of the Organizing Committee to meet their economic/financial obligations affecting contracted personnel for the smooth running of the competition, especially those affecting judges, and for a period longer than thirty (30) days and shorter than sixty (60) days.
- f) Abusing a horse, if and when said actions do not constitute cruelty to animals or be serious or severe misconduct.
- g) Being inconsiderate to a groom/handler or rider while in the arena.
- h) Use the scores of another Judge in the arena.
- i) Non-compliance on behalf of the Organizing Committee with their formal obligations as defined in these Rules and Regulations—and administrative tasks when this does not constitute serious misconduct.
- j) All those aspects not contained herein and that are manifestly a minor misconduct against these Rules and Regulations for Conformation-Functional Competitions.

Article 14. Serious Misconduct

The accumulation of three minor misconducts shall be considered serious misconduct. In all cases, the following shall be considered serious misconduct:

Regarding the OC and the staff in their charge:

- a) The non-payment of financial obligations to contracted personnel and for a period longer than sixty (60) days and shorter than 180.
- b) The repeated failure to send information to the ANCCE Competition Department regarding essential aspects that affect the competition.
- c) The cancellation of a competition or modification of dates without any legitimate justification.
- d) Severe breach of the established obligations, even when this does not translate into the halting or suspension of the competition but that affects the right to information for all, thus hindering the tasks of all those involved.

Regarding Breeders, Riders and Presenters:

- e) Any behavior, attitude or expression that is aggressive or unsporting used while confronting the Judges or members of the Organizing Committee, when this is not considered severe misconduct. This shall include all those revealed to the media or social networks before, during and after the development of the Competition.
- f) Alter the normal development of a section due to negligent behavior.
- g) Failure to present a horse entered in a section without previously informing the OC and without sufficient justification.
- h) Cruelty towards or abuse a horse, when this is not considered to be a severe misconduct.
- i) Failure to comply with any financial obligation with the competition organization.
- j) Failure to present a horse at Award Ceremony, where appropriate, without any sufficient justification and authorization by the OC.
- k) Change the appearance of a horse with the intention of confusing or attenuating its defects.
- I) The use of devices and manners during the presentation that are expressly prohibited by these Rules and Regulations.
- m) And in general, all those aspects and actions that are not contained herein and that are manifestly an infringement of this Honor Code and that may be deemed serious by the Competition Commission.

Article 15. Severe Misconduct

In general, the repetition of serious misconduct, and in all cases, the following shall be considered severe misconduct:

Regarding the Organizing Committees and the staff in their charge:

- a) Failure to meet financial obligations covering contracted personnel for a period longer than 180 days.
- b) Serious non-compliance with their formal obligations when this involves halting or suspending a competition.
- c) Entering a horse while being a member of the Superior Appeals Panel.
- d) Coerce judges with the aim of predetermining competition results.
- e) Accepting the participation in a competition of individuals or stud farms to which disciplinary measures have been imposed to restrict this right.
- f) Aggressions, threats, coercion and injurious statements or actions hurled in public at any active participant in the competition.
- g) Failure to conduct Anti-Doping tests to the horses when these are required by the Competition Commission.
- h) Alter the judges' score sheets.

Regarding Breeders, Riders and Presenters:

- a) Breach of imposed disciplinary measures.
- b) Actions carried out with the aim of predetermining the results of a test or competition, by means of cash, intimidation or simple agreements.



- c) Withdraw the horses from a stud farm from the competition without sufficient justification once the horses have been entered.
- d) Leaving the competition premises on a date and at a time other than established by the OC, and without its express consent.
- e) Threats, coercion and serious insults or aggressions to any judge, member of the OC or breeder taking part in the competition.
- f) Public statements that motivate violence.
- g) The use of unauthorized drugs on horses. (See APPENDIX VIII).
- h) Severe cruelty or abuse of horses.
- i) Hiding any conflict of interests, even if it has not been declared by the judge.
- j) And in general, all those aspects that are not contained in these Rules and Regulations and are manifestly against Conformation-Functional Competition Rules and that are considered severe by the Competition Commission.

Article 16. Disciplinary action for minor misconduct shall be a written reprimand, and could go as far as dismissal from the competition.

Article 17. The following disciplinary actions shall be taken in the event of serious misconduct:

- a) Temporary prohibition for the offending stud farm, representative or personnel in charge of up to one (1) year to attend and/or participate in competitions. This prohibition could be extended, for the same time period, to the horse or horses owned by said stud farm entered in the Competition where the misconduct took place, including when these no longer belong to said stud farm.
- b) Loss of scores for the horses entered, and the awards they might have earned during a competition.
- c) Prohibition to participate in the organization of competitions for a period of one (1)
- d) Prohibition to provide services as a member of the OC or its hired personnel for up to one (1) year.

Article 18. The following disciplinary actions shall be taken in the event of severe misconduct:

- a) Temporary prohibition to attend and/or participate in competitions for a period exceeding one (1) year, which could lead to initiate contradictory proceedings or to permanent disqualification or prohibition to participate in Conformation-Functional Competitions. The prohibition could be extended, during the period of the disciplinary action, except when this is permanent, to the horse or horses owned by said stud farm that are entered in the Competition where the misconduct took place, including when these no longer belong to said stud farm.
- b) Loss of the scores of the horses entered and of the awards they might have earned during a competition.
- c) Prohibition to participate in the organization of official ANCCE competitions from one (1) year to permanently.

- d) In any event, for serious and severe misconduct, once the proceedings have commenced, the possible offender may be suspended from carrying out his/her duties or from presenting horses owned by said person.
- e) The disciplinary actions restricting the right to participate in competitions affect both the breeder who is guilty of misconduct and the horses owned by said breeder that have participated in the competition where the misconduct leading to the disciplinary action took place.

Article 19. Termination of disciplinary measures

- a) Upon compliance with the disciplinary measure.
- b) With the removal of the disciplinary measure. This can be done by the body imposing said measure/s, should the attitude and behavior of the individual being disciplined so justify. Thus, the repair of the damage caused or such intent shall be favorable elements for the removal of the disciplinary action.
- c) In all cases, misconduct expires, as indicated in the terms set out in Article 12 of this Honor Code and Disciplinary Procedures.
- d) Death, in the case of an individual person.
- e) Pardon, total or partial, agreed by the ANCCE Executive Committee.

Article 20. Degree of Responsibility

The Executive Committee may apply, as circumstances modifying the responsibility of the defendant, those facts which, based on their criteria, affect the facts and among these, those listed below:

Aggravating circumstances:

- a) Repetition within a period of three (3) years, starting from the confirmation date of the previously imposed disciplinary
- b) Taking advantage of the office held within the Association.
- c) The general provisions of law

Mitigating factors:

- a) Spontaneous regret
- b) Having had sufficient provocation
- c) Compensating the damages incurred
- d) The general provisions of law



APPENDIX



ANEXO I - SOLICITUD DE CONCURSO MORFOLÓGICO - FUNCIONAL

Appendix 1 - Conformation Competition Request Form

FORMULARIO OFICIAL / Official Farm

			Año del Concurso / Competition year:									
		1										
DATOS DEL CONCURSO / Col	mpetition Info	ormation										
Denomincación del Concurso /	Name of Comp	etition										
Persona de contacto / Contact p	oerson											
Lugar de celebración / Location	of Competition	n										
Dirección del lugar de celebraci	ón / Location A	Address										
Localidad / Municipality				Pais / Country								
Provincia / Province or State			Codigo REGA	Stud Farm Code								
Nº de Jueces que solicita / № Л	udges resquest	ed	Morfología / Conformation:		Functionalidad / Functionality:							
FECHAS DEL CONCURSO / Da	ate of Compe	tition										
Día de Recepción / Recepc	cion day	Fecha de Inio	cio / Start date	Fech	a de Fin / Finish date							
COMITÉ ORGANIZADOR / Or	ganizing Con	nmitee										
Entidad o persona que organiza	/ Individual os	Corporate Orgo	anizer									
Dirección / Address				•								
C.P. / Postal Code			Pais / Country									
Telefono & Fax / Phone	& Fax	Mov	il / Cell		Email							
COMPOSICIÓN DEL COMITÉ	ORGANIZADO	DR / Organizin	g Commitee Stru	ıcture								
Nombre / Name		Cargo) / Post	Telefono de	e contacto / Contact Phone							
COMPOSICIÓN DEL JURADO	SUPERIOR DE	E APELACIÓN /	Members of the	Superior Appeals	s Jury							
Nombre / Name		Cargo	/ Post	Telefono de	e contacto / Contact Phone							
*												

La presente inscripción autoriza al Comité Organizador al uso de las imágenes (videos y fotografias) de los ejemplares participantes para que pueda usarlas y/o cederlas a ANCCE para su exclusiva incorporación promocional a la web de concursos / This Competition Request Form authorizes the Organizing Committee to use images (both video and photographs) of all participating horses, to be used and/or released to ANCCE for exclusive promotional use on the competition web site.

Sí acepta / Yes I acecept

No acepta / No I refuse



		ANEXO II	=						
ANCCE	NOMBI	NOMBRE DEL CONCURSO	ONC	URS	0			LOGOTIPO DEL CONCURSO	
ADCCARCING ACTIONAL & CHADRIES & CARALLOS & PIRA RAZA ESPAÑOLA	FECHA DE CELEBRACIÓN LOCALIDAD PROVINCIA PAIS	ÓN LOCA	LIDAD	PRO	VINCI	A PAIS			\neg
GANADERÍA						соріво	CÓDIGO DE GANADERÍA		
REPRESENTANTE DE LA GANADERÍA						D.N.I./C.I.F.			
DIRECCIÓN						LOCALIDAD			
PROVINCIA		CÓDIGO POSTAL	POST	AL.		MÓVIL		FAX	
CORREO ELECTRÓNICO					<u> </u>	TELEFONO DE URGENCIA	A		\neg
Nº pwg pwe NOMBRE	CÓDIGO	AÑO NAC.	SECCIONES	ONES 14	FUNC	PADRE	MADRE	OBSERVACIONES	
1					Н				П
2			\dashv	\Box	+				П
8			+	1					
4 10			+	1	$^{+}$				Т
9									
7			Н		Н				
			+		+				Т
10			-		+				П
PAGO INSCRIPCIONES ÚNICA	SOLICITO GUADARNÉS		i ii	STA GA	NADER	ZÍA PARTICIPA CON I GANAI	ESTA GANADERÍA PARTICIPA CON LOS EJEMPLARES SEÑALADOS AL PREMIO A LA MEJOR GANADERÍA CRIADORA (PMG)	S AL PREMIO A LA MEJOR	
LOS (X) PRIMEROS									
DEL (X) AL (X) SUCESIVOS	SOLICITO CASETA		<u> </u>	STA GA	NADER	XÍA PARTICIPA CON I GANAD	ESTA GANADERÍA PARTICIPA CON LOS EJEMPLARES SEÑALADOS AL PREMIO A LA MEJOR GANADERÍA EXPOSITORA (PME)	S AL PREMIO A LA MEJOR	
GUADARNES CASETAS	Numero de personas que acompañan al ganado	e acompa	ñan a	l gan	age		Firma		
OIROS						7			
INGRESAR EN LA CUENTA						*			
									1 1
La presente ins	La cumplimentación de esta inscripción supone el sometimiento expreso a las normas del concurso La presente inscripción autoriza al Comité Organizador para que pueda efectuar pruebas biológicas para efectuar controles de Doping a los ejemplares inscritos.	supone el some s efectuar pruek	timiento as biológ	expreso ;	las norn efectuar	nas del concurso controles de Doping a los ej	emplares inscritos.		

APPENDIX III SCORE SHEET - Conformation - Sections 3, 5, 7, 9 and 11 (Fillies / Mares)

TAG	NAME	SECTION	COMPETITION

	a a company		SCORES											П	coefficient	TOTAL									
	BODY REGIONS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		80	2
1	WALK	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
2	CANTER	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
3	TROT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
4	HEAD	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
5	NECK	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.4	
6	WITHERS, BACK & LOIN	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.92	
7	CROUP & TAIL	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
8	THORAX, CHEST & ABDOMEN	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
9	FORELIMBS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
10	HIND LIMBS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
11	LIMB ALIGNMENT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
12	GENERAL CONDITIONS & CONFORMATION-FUNCTIONAL HARMONY	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.92	
13	BREED FIDELITY	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		1.1	

TOTAL for MOVEMENTS TOTAL for	CONFORMATION	FINAL TOTAL
OBSERVATIONS:		

JUDGE: SIGNATURE:



APPENDIX III SCORE SHEET - Conformation - Section 4 & 6 (Colts)

TAG	NAME	SECTION	COMPETITION

	a a company		SCORES											П	coefficient	TOTAL									
	BODY REGIONS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		8	2
1	WALK	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
2	CANTER	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
3	TROT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
4	HEAD	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
5	NECK	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.4	
6	WITHERS, BACK & LOIN	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.92	
7	CROUP & TAIL	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
8	THORAX, CHEST & ABDOMEN	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
9	FORELIMBS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
10	HIND LIMBS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
11	ALIGNMENT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.74	
12	GENERAL CONDITION & CONFORMATION-FUNCTIONAL HARMONY	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		0.92	
13	BREED FIDELITY	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10		1.1	

TOTAL for MOVEMENTS TOTAL for CONFORMATION	FINAL TOTAL
OBSERVATIONS:	

JUDGE: SIGNATURE

APPENDIX III SCORE SHTTE - Conformation - Section 8, 10 & 12 (Stallions)

TAG	NAME	SECTION	COMPETITION

			SCORES										to officiant		TOTAL										
	BODY REGIONS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	3		5
1	WALK	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	74	
2	CANTER	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	74	
3	TROT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	74	
4	HEAD	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	74	
5	NECK	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0	4	
6	WITHERS. BACK & LOIN	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	92	
7	CROUP & TAIL	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	74	
8	THORAX. CHEST & ABDOMEN	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	74	
9	FORELIMBS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	74	
10	HIND LIMBS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	74	
11	LIMB ALIGNMENT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	74	
12	GENERAL CONDITIONS & CONFORMATION-FUNCTIONAL HARMONY	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.	92	
13	BREED FIDELITY	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1.	1	

TOTAL for MOVEMENTS	TOTAL for CONFORMATION	FINAL TOTAL
Observations:		

JUDGE: SIGNATURE:

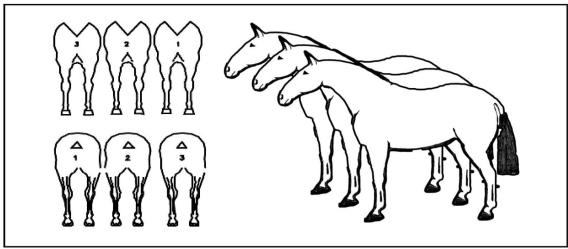


APPENDIX III	
SCORE SHEET - Conformation - Section 13 (3-mare Cobra)	

TAG	NAME OF HORSE	SECTION	COMPETITION

											sc	COR	ES										cient	TOTAL
	BODY REGIONS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	coefficient	Σ
1	MOVEMENTS	0	0.5	1	1,5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7,5	8	8.5	9	9.5	10	1.4	40
2	HANDLING	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1.4	•
3	PRESENTATION	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.5	
4	UNIFORMITY OF COAT COLOR	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1	+
5	QUALITY & UNIFORMITY OF HEIGHT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1	4
6	QUALITY & UNIFORMITY OF CONFORMATION	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1.5	r
7	QUALITY & UNIFORMITY OF BREED CHARACTERISTICS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1.8	,

FINAL TOTAL



OBSERVATIONS:		

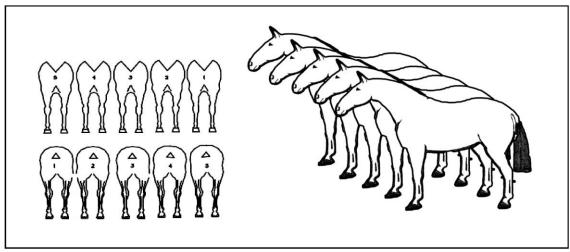
JUDGE: SIGNAUTRE

APPENDIX III SCORE SHEET - Conformation - SECTION 14 (5-mare Cobra)

Let Let	Vis.	0	
TAB	NAME	SECTION	COMPETITION

											sc	OR	ES										cient	TOTAL
	BODY REGIONS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	coefficient	ΙŌΙ
1	MOVEMENTS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	δ	6.5	7	7.5	8	8.5	9	9.5	10	1.4	
2	HANDLING	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1.4	
3	PRESENTATION	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	0.9	
4	UNIFORMITY OF COAT COLOR	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1,4	
5	QULAITY & UNIFORMITY OF HEIGHT	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1.4	
6	QUALITY & UNIFORMITY OF CONFORMATION	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6	6.5	7	7.5	8	8.5	9	9.5	10	1.7	
7	QUALITY & UNIFORMITY OF BREED CHARACTERISTICS	0	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	δ	6.5	7	7.5	8	8.5	9	9.5	10	1.8	

FINAL TOTAL



OBSERVATIONS:			

JUDGE: SIGNATURE:



APPENDIX III SCORE SHEET - Functionality 4-year-olds COMPETITION Enter of working trot. Exit along the center line, track right, Orange the rein across the diagonal showing lengthened shides. Working traf. AXC 10 A Strke-off in right corner. Half circle right, 30m diameter, giving with the rens, allowing the horse to stretch its neck. 2 11 EM Circle right 25 m diameter in working contex. 3 Working Frof Change the rein across the diagonal, showing lengthened strides 4 12 MV Show lengthened strides in conter. MCHS Working trot 13 VX Transition to working trot. 14 AF Working confer left. Continue around the arena to A at waiting trot EVKA 15 Sts Circle left 20 m digmeter in working confer. 3-loop Serpentine at working frot. 7 CH Medium walk. 16 & transition to working frot. free walk on a long rein H5 Continue in free walk on a half circle. frishing at M HSEX Working bolt. 17 Take up the reins between M and C medium walk rait, immobility and solute, SCORES TOTAL BODY REGIONS 0 1 2 3 4 5 6 7 8 9 10 10 WORKING TROT 10 2 LENGTHENED STRIDES AT TROT 2 3 4 5 . . 10 MEDIUM WALK 1 2 3 5 ä . 10 FREE WALK . 10 WORKING CANTER 2 3 . 10 LENGTHENED STRIDES AT CANTER . . 10 BREED QUALITY 2 3 10 4 5 . GENERAL IMPRESSION FINAL TOTAL (25% Functionality)

SIGNATURE:

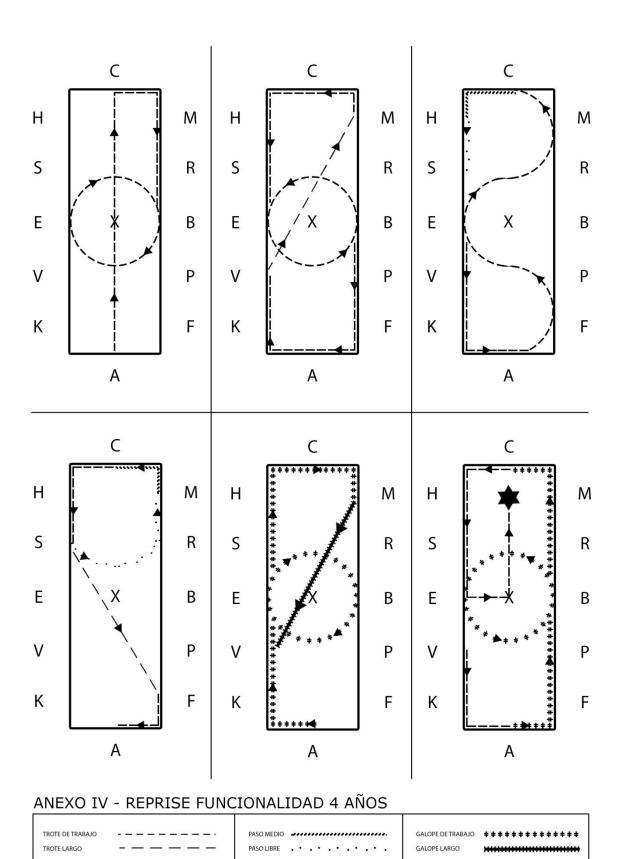
	APPENDIX III SCORE SHEET - Funcionality 5-years-of-age and older										
	NUMBER	NAME	SECTION		_	0	ОМРЕТІТЮН				
		Enter at working trot, Half, Invinobility & salute.		8 >	ıc	Medium wak.					
1	ж	Proceed at working tot along the center fine, track right.	,	9	c	Monting contex right.					
			1	0 1	ne	Extended conter.					
	MRS SES	Working trat. Circle right 20m diameter in working hat.	1		8	Half circle right 10m diameter. Continue in contento 8.					
2	BPFA	Washing had.	1	-		Counter canter. Half circle left 20m in counter canter.					
3	км	Change the rein across the diagonal, in extended trat.	1	3		Simple change of leg. Working conter.					
4	1	Working frot. Isof cross 20m disnesive, allowing the horse To directly it reach.	1.	4 :	s	Diagonal'h extended canter.					
	200	Toke-back the reins, sifting trot. Continue around the grend to A in working trot.	1	5 5	н	Between S and H simple change of leg.					
5	EVKA	working not.	3	6 0	nec.	Circle right 20m diameter in working confer.					
4	AFP	Aredum wisk.	1	7	c	Iranstion to working traf.					

For this test, working trof may be sifting, except in Figure 4, where it may be performed intry

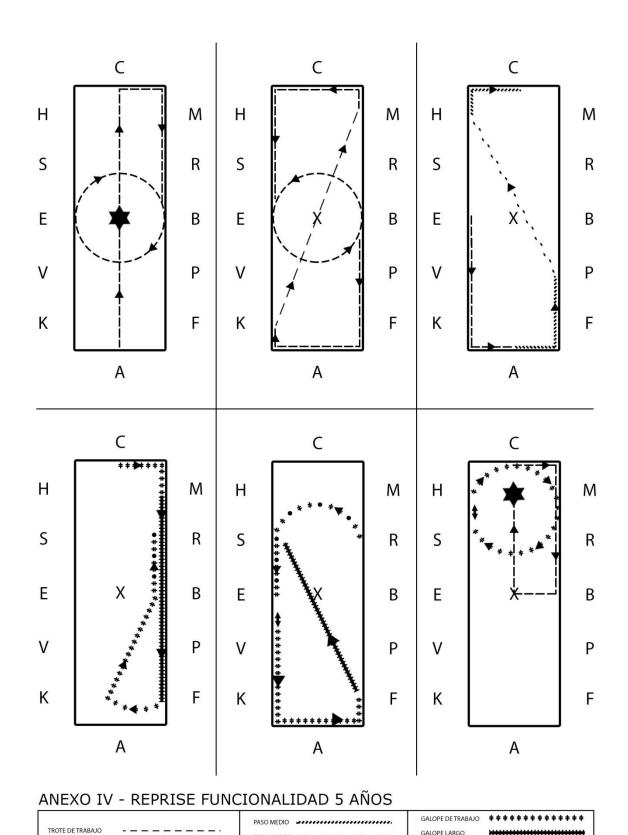
										sc	ORE	s										(L)	interior in	1000	1	107.07
AREAS	0	0.5	1	4.6	2	11	3	33	4	63	5	5.5	6	6.5	7	13	8	33	9	93	10	the contract	1000	macon Amanga	Ī	
WORKING TROT	0		1		2		3		4	41		11		40	7	11			,	100	10	-0			1.40	Ī
EXTENDED TROT	0		T	110	2		3		4				4	Own	7						10				1,42	r
MEDIUM WALK	0		1	i i	2		3	14	4		5		4	an a	7	+			•	**	10				1,40	r
EXTENDED WALK	۰		1	10	2	6	3		4		1	44	4		7	44			•		10				1.42	r
WORKING CANTER	0	117	1	10.	2		3		4	44		44	4	41	7	11			٠	+6	10			9-1	1,42	t
EXTENDED CANTER			10	i i	2	11.	3		4		8				7	an.		Sat.	٠		10				1.42	r
BREED FIDELITY	0	11	1	in .	2	11	3	14	4	46			6	in.	7	16	*		•	11	10				574	r
GENERAL IMPRESSION	0		1	10	2	11	3		4				4		7				*		10				0.74	İ

		FINAL TOTAL (25% functionality)	









PASO LARGO . * . * . * . * . * .

CAMBIO DE PIE SIMPLE +

GALOPE LARGO

GALOPE TROCADO

TROTE LARGO



APPENDIX V

BEST BREEDER AWARD

SCORES ACCORDING TO SECTION AND CLASSIFICATION

SECTIONS -	3 & 4	5 & 6	7 &		SECTIO	ON 13	
POSITION 	3&4	3 & 6	FOLLOWING	POS.	POINTS	POS.	POINTS
1st	16	18	21	1st	22	13th	7
2nd	13	15	18	2nd	19	14th	6
3rd	11	13	16	3rd	1 <i>7</i>	15th	5
4th	10	12	15	4th	16	16th	4
5th	9	11	14	5th	15	17th	3
6th	8	10	13	6th	14	18th	2
7th	7	9	12	7th	13	19th	1
8th	6	8	11	8th	12	20th	0
9th	5	7	10	9th	11		
10th	4	6	9	10th	10		
11th to 15th	2	3	4	11th	9		
16th and more + ELIMINATED	-2	-2	-3	12th	8		

The total shall be divided by the number of horses seeking a given award.

NOTE: In the sections with up to 15 horses, the negative score shall be applied as of & including 11th place; Between 16 & 30 horses, the negative score shall be applied as of & including 13th place; With more than 30 horses, the negative score shall be applied as of & including 16th place (not applicable to Section 13)

Special Awards:

3 points for: Competition Champions (Sections 7 to 12)

(Points to be received by...)

2 points for: Competition Reserve Champions, Youngstock Champions

Adult Best Movement Champions and Functionality Champion

1 **point for:** Youngstock Best Movement Champions, Reserve Youngstock Chamions and Reserve Functionality Champion.

These points shall be added to the total obtained by the Stud Farm, before dividing by the total number of horses presented

APPENDIX VI – QUALIFYING FOR SICAB

To participate in the World Championship, horses must qualify previously by participating in any of the qualification competitions leading up to that Final.

The number of horses qualified at the various competitions sanctioned by ANCCE shall be based on the Competition category and the number of horses participating in each section. This shall be established as indicated in this Appendix.

Individual Classification:

The number of horses classified shall be based on the number of horses participating in each section.

- ANCCE Qualifier Competition:

Between 4 and 6 horses	\rightarrow	2 qualify
* Between 7 and 9 horses	\rightarrow	4 qualify
* Between 10 and 12 horse	es >	6 qualify
* Between 13 and 15 horse	es >	8 qualify
* Between 16 and 20 horse	es >	10 qualify
* Between 21 and 30 horse	es >	12 qualify
* Between 31 and 40 horse	es >	15 qualify
* Between 41 and 50 horse	es >	20 qualify

Independently of the number of horses in each section, the following horses qualify, whatever the category of the competition:

- * Competition Champions (stallion and mare)
- * Competition Youngstock Champions (colt and filly)
- * Competition Champion of Movement
- *Competition Functionality Champion
- * First placed in each of the Sections (applying shifting of ranks)
- a) Competition Champions: Should one of the Competition Champions have already qualified, then the Reserve Competition Champion (stallion or mare) would qualify automatically. Should that horse already be qualified in its section, the horse in second place shall classify.
- b) Youngstock Champions, the Champion of Movement and the Functionality Champion: only the Champions shall classify. In other words, should any of the aforementioned champions already be classified, there shall be no shifting within the ranks.

Classification of Cobras:

The number of cobras classified shall be determined following the table below:

REGLAMENTO DISCIPLINARIO DE JUECES | ANCCE





- ANCCE Qualifier Competition:

* Between 2 and 3 cobras	\rightarrow	2 qualify
* Between 4 and 6 cobras	\rightarrow	4 qualify
* Between 7 and 10 cobras	\rightarrow	6 qualify
* Between 11 and 15 cobras	s →	10 qualify

To participate in the final with a 5-mare cobra (Section 14), that cobra shall have qualified previously as a 3-mare cobra, to in turn be integrated as members of the 5-mare cobra.

APPENDIX VII - PROHIBITED SUBSTANCES & PRODUCTS

All information relative to this paragraph is being drafted by the Pharmacology Department at the School of Veterinarian Science at the University of Cordoba. In due course, a full list of prohibited substances and products will be published.



CONFORMATION-FUNCTIONAL COMPETITION DISCIPLINARY RULES FOR JUDGES

PREFACE

The ANCCE Board of Directors, based on prior agreement and at the proposal of the Executive Committee, in Chapter XVII of the 2016 Rules for PRE Conformation-Functional Rules & Regulations, the Technical Committee of Judges (TCJ) was created to regulate its composition, responsibilities, functions and the duration of its members in their posts.

Among the responsibilities granted to this new body is the ability to "launch disciplinary actions against Judges and dismiss them." This means that the TCJ is in charge of substantiating said actions, and taking on the responsibility of instructing, processing and presenting the case before the Executive Committee.

With this in mind, these current Disciplinary Rules for Conformation-Functional Competition Judges have been drafted and approved. They seek to define those procedures that determine any possible disciplinary responsibilities that judges might experience. At the same time, it seeks to comply two functions:

- 1. Serve the general interests of ANCCE by supervising competitions in such a way that the Judges have exact knowledge of their limits and that they are subject to specific standards, to safeguard those plural interests.
- 2. Constitute a pre-established and common course applicable in all cases, in which case the will of the Sanctioning Body, respecting well-established guarantees such as fairness, the motivation of resolutions, principles of proportionality, the right to appeal on behalf of the affected Judge and provide proof, dual appeals, statute of limitation, etc., while at the same time safeguarding the objective and goal of the procedure and attain promptness.

Likewise, the definition of violations is included herein, as well as their differentiation in terms of seriousness, the corresponding punishment and precautionary measures that may be adopted to effectively and efficiently conclude the process.

PROVISIONS

Article 1. Competent Authority

In keeping with the provisions of Chapter XVII of the 2016 Rules for PRE Conformation-Functional Rules & Regulations, the competent authority for knowledge about and to seek disciplinary responsibilities of Judges at said events shall be the *Technical Committee of Judges*, created at the time; notwithstanding the obvious ratification and final application of resolutions that correspond to the governing bodies of ANCCE.

Article 2. Violations

I. Minor Misconduct:

- a) Failure to comply with the standards of decency and attire as established in the Rules and Regulations while carrying out his/her tasks.
- b) Access the arena with material other than what is provided by the Organizing Committee or authorized by ANCCE.
- c) Failure to consult with the TCJ about any incidence that may have arisen throughout the course of the competition.
- d) Smoke or eat in the arena

II. Serious Misconduct:

- a) Attempted and occasional duress with fellow judges to influence the score of a horse.
- b) Failure to comply with the schedule regarding commencement times for the Sections or Functionality Tests, as established by the OC, but when this translates into a limited disruption for the smooth running of the competition.
- c) Belittle breeders, riders and presenters or other Judges, arena staff, or other Judges.
- d) Take the reins of a horse, or ride a horse that is going to be judged.
- e) Use of a cell phone or other electronic device in the arena during the judging process.
- f) Access the arena with material other than what is provided by the Organizing Committee or authorized by ANCCE.
- g) Failure to comply with the standards of decency and attire as established in the Rules and Regulations while carrying out his/her tasks.
- h) Fraternize or have a more than friendly relationship with breeders, their direct family members, presenters, riders or trainers that may take part during, before or right after Contests, thus jeopardizing the image of fairness, independence and unbiasedness as described in the Code of Ethics.
- i) Failing to inform or hide information from the Organizing Committee and other ANCCE authorities about derogatory comments or insults made against them from breeders, presenters, or riders during the course of their work, and that should be pointed out for analysis and possible correction at a future date, as described in the Competition Rules and Regulations.
- j) Continuously fail to attend the training workshops when summonsed, or show an apathetic attitude, or lack of interest during said courses.
- k) Failure to comply with or violation of any obligation established for Judges and determined by the Competition Rules or standards developed that are not specified in these Disciplinary Rules, if and when the events are not detrimental to third parties nor do they notoriously deteriorate the image of ANCCE and the Judges as an institution.



Having committed two minor events of misconduct in a single year shall be considered serious misconduct.

III. Severe Misconduct:

- a) Judge any competition that fails to have ANCCE authorization, or to participate in a competition when a penalty has been imposed.
- b) Judge competitions that fail to correspond to the category that each Judge has been assigned, ad determined by the Technical Committee of Judges.
- c) Continually pressure or coerce judges and impose his/her will upon another Judge while judging horses.
- d) Failure to appear to judge a section, or be late to such an extent that this translates into serious disruption for the smooth running of the competition.
- e) Continued failure to apply Breed Quality standards and implement the Competition Judging Manual while judging, when the judge's actions have been determined to be dishonest or willful negligence by Technical Judge Committee who shall consider technical aspects, social or economic circumstances that may clarify the situation.
- f) Failure to comply with any of the rules governing conflict of interests in the exercising of their functions or the prohibition to perform concurrent activities, as established in these Rules and Regulations.
- g) Any aggression, insult, threat, coercion or slander against presenters, riders, participating breeders, members of the Organizing Committee or other Judges.
- h) Failure to respect the indications of the Organizing Committee regarding the rules of conduct as established for the smooth running of the event, or the planned relationship with the various participants.
- i) Attend judging while under the effects of alcohol or narcotics or appear in public while under the influence or in an offensive manner during the Competitions.
- j) Failure to fill out the score sheet or take actions seeking to disrupt or change the judging following the established norms, whether due to gross and willful negligence.
- k) Failure to report any conflict of interests, or update the corresponding form immediately when unexpected conflicts of interest arise. In such case that a horse from a stud farm is judged when the Judge has failed to inform that conflict of interests, whether due to gross or willful negligence, the applicable punishment shall be the permanent disqualification of said judge. By simply failing to report a conflict of interests dictates a penalty as a 5-year disqualification to judge any type of competition.
- I) Violation or breach of any obligation established for Judges, and determined in the Competition Rules & Regulations or rules for the smooth running of the competition, not specified in this Disciplinary Rules & Regulations, if and when said accts are detrimental to third parties, or notoriously deteriorate the image of ANCCE or the Judges as a body, or that goes directly against the Code of Ethics for Judges.
- m) Fail to act quickly on the resolutions or provisions that affect his or her condition as a Judge, as dictated by the Technical Committee of Judges, within the scope of the competencies and functions that ANCCE has or could have granted said Committee.

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- Notwithstanding the right of the Judge to appeal said decisions or express his/her opinion before the bodies representing and governing the Association.
- n) Any other behavior included in these Competitions Rules and Regulations that may be considered a punishable breach and/or that clearly goes against the Code of Ethics, thus harming third parties.

Having committed two events of serious misconduct in a single year shall be considered severe misconduct.

Article 3. Disciplinary Actions, Precautionary Measures and Principles for Appeals. Statute of Limitation.

I. Disciplinary Actions:

The following disciplinary action shall be taken in the case of MINOR MISCONDUCT:

- Written reprimand.

The following disciplinary actions shall be taken in the case of **SERIOUS MISCONDUCT**:

- Written reprimand.
- Suspension as a judge for six (6) months to one (1) year, once the final disciplinary actions have been imposed.

The following disciplinary actions shall be taken in the case of **SEVERE MISCONDUCT**:

- Suspension as a judge for two (2) to five (5) seasons.
- Permanent dismissal as a Judge.

II. Precautionary Measures:

The Technical Committee of Judge may apply precautionary measures as soon as disciplinary actions are begun to guarantee the objective and final goal of said process, in the form of suspension that shall last exclusively during the validation process, if and when there is a risk of continued violation or should the Judge have caused obvious damage to the competition, to third parties or their public image.

When violations are recurrent, as indicated in Article 2, Section II, Letter f, and after the corresponding warning, the TCJ shall be summonsed due to founded guiltiness, said body my unanimously and with due foundation, suspend the Judge until he/she has passed a training course as may be determined. Said training course shall be taken at the most, within the following year after the decision has been adopted. This measure shall not be noted in the file of Judge involved, but rather, is shall be preventive in nature to avoid continued harm to the general interests of breeders, presenters or riders.

III. Principles for Appeals:

When deciding the extent of the disciplinary actions, the principle of proportionality shall be taken into consideration. Such circumstances include clear negligence/obvious malice or intentionality to violate



the rules, the seriousness of the damages causes, recurrence of this type of violation in the past three (3) seasons, etc.

Judges shall be considered responsible for any illegal action and omission due to malice or negligence, even if due to failure to observe, should this be mandatory, except due to inexcusable circumstances.

If a given action or omission constitutes a criminal action and in such case that ordinary organs of jurisdiction, the Technical Committee of Judges shall assess the suspension or not of disciplinary actions until a sentence has been issued by the Courts. In such cases, the expiration established in these Rules and Regulations shall be used.

IV. Statute of Limitation:

Serious violations shall have a one (1) year statute of limitation as of its commission, covering, in such case that it is a continued action.

Severe violations shall have an eighteen (18) month statute of limitation as of its commission, in the same terms as indicated above.

The statute of limitation shall be interrupted due to the launching of Disciplinary Procedures, once the Judge being processes has been informed.

Disciplinary Actions due to violations or serious misconduct have a statute of limitation of three (3) months once the non-appealable sentences has been announced, if, during this time the execution of the sentence has not been foreseen. In the case of severe misconduct, the statute of limitation shall be six (6) months for the same circumstances.

Article 4. Launching, Processing and Resolution

I. Launching:

A prompt and impartial Procedure may be launched by the Technical Committee of Judges or due to a report by a third party.

Said process shall be launched by this Committee, in which case an Instructor shall be appointed and in writing. If considered appropriated, a Secretary may be appointed.

The instructor of the process may be a member of the TCJ or another appointed by the ANCCE executive body.

Said Instructor shall have an acceptance term, as of his/her appointment, of five (5) calendar days, from the communiqué indicating the launching of the process and his/her appointment. The Instructor may refuse his/her appointment sole due to justified reasons.

II. Processing:

The Instructor shall inform all parties involved using guaranteed means and in writing that disciplinary actions have been launched and within seven (7) calendar days after the appointment of the Instructor. Said Instructor shall clearly indicate the facts, events and accusations and informing the party of his/her right to present allegations and present or propose proof within another timespan of seven (7) calendar days, starting as of the day the communiqué was received. Likewise, the Judge involved in the disciplinary process shall provide a fixed address or established means to guarantee that he/she receives all further notifications.

Should allegations not be presented within the established timeline, the Instructor shall proceed to issue the corresponding Proposal for Disciplinary Actions.

Once evidence and allegations have been received from the defendant, the Instructor shall have twenty (20) days to review said evidence.

If due to objective and justified reasons the timeline needs to be extended to provide more time for allegations or to provide evidence, the Instructor may expand the timeline as deemed appropriate.

The Instructor, once allegations have been received, or since evidence has been presented, shall have five (5) calendar days to request clarifications or additional information deemed essential so that the affected Judge shall have at least that same amount of time for compliance.

Once the investigation has concluded, the Instructor shall issue and present the corresponding Proposal for Disciplinary Actions before the Technical Committee of Judges within seven (7) calendar days. Said Proposal shall contain the presentation of facts with regards to concomitant evidence, as well as a summary of possible violation and any possible disciplinary actions that could correspond to the affected party. Likewise, a statement shall be made regarding the appropriateness of maintaining or not any precautionary measures that may have been established.

III. Resolution:

Once the Technical Committee of Judges has received the Proposal for Disciplinary Actions, members of said Committee shall consider the Proposal at the next meeting held, where the corresponding Resolution shall be adopted. The affected Judge shall be informed within five (5) calendar days after the Resolution has been adopted.

Said Resolution may be appealed before the ANCCE Executive Committee within ten (10) calendar days starting upon the reception and acknowledgement. Otherwise, it shall be considered firm and final.

The Executive Committee shall settle the appeal at the first meeting held, thus review and settling said file within a similar timespan of another ten (10) calendar days to conclude at said meeting with the disciplinary route, with the Resolution being considered final and firm.

Should a punishment have been established, this shall be noted in the file of the referred to Judge, and this shall be taken into consideration in the future when establishing the categories of the Judges.



CODE OF ETHICS FOR CONFORMATION-FUNCTIONAL JUDGES

I. Introduction:

Conformation-Functional Competitions organized throughout the world under the coverage of the National Purebred Spanish Horse Breeders' Association (ANCCE) comply with a variety of objectives. Among these are the selection, fostering and promotion of Purebred Spanish Horses.

The aforementioned competitions are governed by Rules & Regulations, with the participating horses being classified by age and sex, according to their conformation and movements guided in-hand by a groom. Horses also prove their functionality with specific tests under saddle.

The necessary classification and qualification is undertaken by ANCCE-appointed Judges. These Judges are obliged to adjust their criteria to the current breed standards, following the guidelines provided in the Judging Manual.

A set of judges with unquestionable integrity is a basic institution to guarantee the adequate effectiveness of breed standards at competitions. Their dedication, to adapt to each horse, shall always be accompanied by ethics that are well grounded in the task of judging, whatever the social environment where carried out. Values such as objectivity, independence, justice, transparency, competence or adequate training, due diligence and correctness in their statements constitute the foundation upon which the confidence of breeders, presenters and riders lies when participating in any of the aforementioned competitions. This confidence has to be visible even when loopholes are seen or when there is room for interpretations due to shortcomings or failures in the Rules and Regulations; obviously, not all situations arising from the extent of reality may be legislated.

To a certain extent, the public image of judges and the legitimacy or usefulness of these Competitions are intertwined with the application of these principles and values.

These values are part of the traditions behind any "mutatis mutandi" (meaning that the necessary changes having been made) judicial function. Such aspects have been included in the "Bangalore Principles" drafted by the United Nations Economic and Social Council in its resolution 2006/23 dated July 27 and in the Report 2009/2010 by the Code of Judicial Conduct for the European Network of Judiciary Council Members. There are many other statements that have been made by an extensive number of organizations, all of which seek similar objectives.

Therefore, an opening statement is necessary; one that lists the basics that are inherent to all classification and qualification activities carried by ANCCE Judges at future competitions.

II. Values:

a) Independence:



This is the desirable state of any judge when he/she is judging. He/She shall have <u>freedom to judge</u>, <u>without influences or pressure</u> that could limit his/her ability to maintain an honest and impartial stance.

Judges shall be oblivious to the desires and individual offers from breeders, presenters or judges. Judges shall never be influenced by Organizing Committees or other bodies seeking to place their own agenda above the justice of scores.

Independence is neither a privilege nor a prerogative. It is an essential element for other principles.

The only acceptable ties are legal mandates or the Rules & Regulations, the mandates regarding breed standards and at most, which refers to the above, the Judging Manual. This Manual is understood as a set of guidelines adapted and seeking to avoid undesirable dispersion and unfounded scores that lead to unfair situations.

b) Objectivity:

Although independence differs from objectivity, it is closely linked and related. It constitutes the cornerstone of all judging processes.

Impartiality must contain an element of fact and another translational or of reasonable perception.

The aspect of fact arises with the <u>judge's mental predisposition</u> when before a horse or horse and <u>rider</u>, to lead him/her to grant scores that deserve the honorable appreciation of said judge, without prejudice to or predispositions at the personal level.

Perception takes place when general third parties have a reasonable opinion that the judge's notes are biased, especially when they are <u>decoupled from certain conditions that could objectively be seen as motivating preferences or tendencies in one or another direction.</u> This second aspect is essential, as it could affect the credibility of the entire judging system, thus sparking a spirit of distrust.

An extended career in the sector provides time for encounters and knowledge among the stakeholders, but a judge shall always maintain subtle balance not only during the competition, but also before and after the event. Judges shall work to avoid situations or actions that in the eyes of a reasonable observer could be seen as a lack of fairness in their scores. Caution in expressing opinions must also be observed.

More than anything else, a "conflict of interests" refers to moral aspects, even more than any other external influences. It all arises when the apparent personal interests of a judge enters into conflict with the clear image that he/she dictates (the score) without any unfair preferences.

The Rules & Regulations strive to cover a given number of situations. Nevertheless, well beyond what has been established by those Rules, the bottom line is the principle of fairness as an objective fact and an expected perception.

"Any judge towards whom there are legitimate reasons to fear his/her lack of fairness must step down."

c) Justice and transparency:



Justice is to "give to each person what is due." (Ulpian. 3rd Century AD)

These may be understood as the continuity towards the specifics of independence and fairness. In each and every case, a score has to be granted that the judge deems unbiased. That justice is to be based on the adjectives of the score scale which in turn, is connected to the definitions in the Rules & Regulations for the breed standard and the interpretations established in the Judging Manual.

Judges shall be oblivious to emotions arising from the behavior of breeders, presenters, riders, peers, etc., especially when these are not part of the competition. Judges shall overcome, with indifference, anything and everything taking place in the stands and outside the competition field. Judges transcend sympathies and phobias to attain a temporary status of its own nature....after all is said and done, judges grant the score they believe correct, based on their good understanding, but resulting solely from the object being judged.

Nevertheless, justice, to be visible, must be transparent. Therefore, judges shall be able to express, to a certain extent, the motivations behind their decisions. When the case arises, and to the extent that the circumstances so allow, a judge should be able to explain how he/she reached a given decision, to even—in those limited cases where necessary (and that could take place due to a variety of circumstances)—accept the possibility that the score granted is lacking in quality or perfection.

d) Competence:

A judge, when expressing his/her opinion regarding the object being judges, must necessarily be competent in his/her field of expertise.

Judges shall have general knowledge about horses as a species and as a breed. This knowledge includes breed history, conformation, functionality, elements of equitation, etc. For the adequate implementation of their responsibilities, it is especially important that judges have a complete understanding of PRE breed standards and the Judging Manual.

At all times, judges shall endeavor to have updated knowledge, clarify doubts and pay attention to any and all contents that improve their quality. To this end, judges become the custodians of continual training and outstanding opinion.

On the other hand, judges need to be in good physical and psychological shape to be able to perform their tasks with maximum potential. Judges, at all times, shall avoid mental states that could give way to confusion, weariness or lack of diligence when performing their duties.

e) Accuracy:

In all fields, judges are linked to the idea of prudence or cautious (from the Roman concept known as "prudentia"), polite in their contact with others, pondering their expressions, showing retrain or adapting their behavior.... In other words, intrinsic to the notion of "judge" is the idea of "respect" both in terms of attributions as well as in his/her expected behavior.

His/her actions, the way he/she expresses his/her opinions, in his/her timeliness, how he/she treats breeders, presenters, riders, members of the OC, contracted staff and the general audience must never deviate from this interpretation.



f) Integrity:

Rather than an isolated value, <u>this virtue saturates one's behavior with honesty</u>. It is part of one's nature, with positive morality where judges rebuke rouge behavior, resist dishonest temptations, fail deceit, and outlaw falsehoods.

Integrity is not easy to retain, but it is fed by the standard moral principles of our community.

Society expects a judge to behave along these lines.

III. Meaning:

The values indicated in this Code of Ethics should inspire the tasks and responsibilities of ANCCE Conformation-Functional Competition Judges. They must be the foundation that condemns those actions and omissions of individuals, which, being unfounded, have a negative impact on the task or image of the judges and/or the actual Association.

Likewise, this Code of Ethics plays a role when interpreting the meanings that integrate a sense of fair play or when creating the identity of reason behind the analogy, for the purpose of Articles 3 and 4 of Spain's Civil Code, regarding the criteria for the interpretation of legal standards governing the sector.